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# Mitral Regurgitation in Hypertrophic Cardiomyopathy: A Narrative Review of Mechanism and Current Management

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#### Abstract

Significant mitral regurgitation (MR) is frequent in patients with hypertrophic cardiomyopathy (HCM) either obstructive or non-obstructive. Many studies described that significant MR is associated with poor long-term outcome of HCM patients, which indicate the importance of an adequate MR assessment including detailed evaluation of the mitral valve (MV) anatomy. Mitral malformations have been identified in HCM at all levels. They occur in the MV leaflets with a wide array of malformations of the papillary muscles and chordae. Complete understanding the mechanism of MR and accurate quantification can guide towards the selection of therapeutic modality. Multimodality imaging helps describe mitral abnormalities in HCM. Transthoracic and trans-esophageal echocardiographies as well as magnetic resonance imaging emerges are the most important imaging modalities for precise diagnosis, risk stratification and treatment strategy. This review will focus on the abnormalities of the MV apparatus for understanding the mechanism MR in HCM with a discussion of the value of multimodality imaging approach to diagnosis and quantification. Also, the review will describe the standard management and new optional techniques with the evidence from the published data.

#### Keywords

Hypertrophic cardiomyopathy, Mitral regurgitation, Narrative review

#### List of Abbreviations

ASA: Alcohol Septal Ablation; CMR: Cardiac Magnetic Resonance Imaging; EF: Ejection Fraction; HCM: Hypertrophic Cardiomyopathy; LV: Left Ventricle; LVOT: Left Ventricular Outflow Tract; LVOTO: Left Ventricular Outflow Tract Obstruction; MR: Mitral Regurgitation; MV: Mitral Valve; RFA: Radiofrequency Ablation; TTE: Transthoracic Echocardiography; TEE: Trans-esophageal Echocardiography; RV: Right Ventricle; SAM: Systolic Anterior Motion; 2DE: 2-Dimensional Echocardiography; 3DE: 3-Dimensional Echocardiography

# Introduction

Up to 70% of patients with hypertrophic cardiomyopathy (HCM) exhibit left ventricular outflow tract obstruction (LVOTO) [1]. LVOTO is frequently associated with significant mitral regurgitation (MR) because of systolic anterior motion (SAM) of mitral leaflets (SAM- dependent MR). Significant MR may occur in up to 10% to 20% of HCM patients without SAM (SAM-independent MR) and is due to intrinsic mitral valve (MV) abnormalities [2]. LVOTO together with SAM-dependent MR leads to progressive deterioration of clinical status and poor long-term outcome. Patients with HCM and significant MR had more frequent chest pain, syncope and NYHA  $\geq$  II functional class. MR is one of the factors responsible for left atrial enlargement and is an important cause of atrial arrhythmias, particularly atrial fibrillation [3]. Significant symptomatic LVOTO requires septal reduction therapy, which may vary depending on the mechanisms of LVOTO, MV disease status and alterations in papillary muscles [3,4]. The coexistence of MR may influence the decision between intervention and conservative treatment. Some centers consider septal reduction therapy is enough in HCM patients with significant LVOTO if they have moderateto-severe SAM-dependent MR. Some reports showed that concomitant MV surgery is associated with sustained improvement of SAM, MR, LVOTO, reoperation, and functional status [3].

# **Mechanism of MR**

**SAM-dependant MR:** In most patients with obstructive HCM, the MR that is dependent on SAM



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peaks in mid and late systole. SAM is initiated by rapid LV ejection through an LVOT narrowed by ventricular septal hypertrophy and anterior displacement of the MV apparatus. This result in production of drag forces, which draw both the MV leaflets and chordae towards the interventricular septum. Anterior displacement of the papillary muscles shifts the MV leaflets anteriorly toward the LVOT and leads to chordal and leaflet laxity. As drag forces generated by the LV pull the anteriorly displaced and elongated leaflets into the outflow tract in early systole, the distal one half to one third of the leaflets form an angle anteriorly into the LVOT, creating a "funnel" composed of both leaflets. Narrowing of the LVOT leads to dynamic pressure gradients and in many patients, MR severity is dynamic and is affected by the same factors that influence the severity of LVOTO [5,6].

Because the anterior leaflet motion towards the LVOT is greater than that of the posterior leaflet during SAM, the coaptation point between the anterior and posterior leaflets is typically eccentric and interleaflet gap is created. This results in a typically posteriorly directed jet of MR forming a right angle with the turbulent LVOT flow, and occurs in mid to late systole. The degree of MR relates to the extent of mismatch of anterior to posterior leaflet length and the decreased mobility of the posterior leaflet to move anteriorly which can be significant (moderate or greater depending on the extent of the gap) [7,8].

Intrensic MR (SAM-independent): Significant MR due to MV abnormalities may occur in up to 10% to 20% of patients with HCM who carry a genetic mutation for HCM but have not manifested septal hypertrophy or LVOTO [9]. SAM-independent MR is usually directed anteriorly or centrally into the left atrium and is usually holosystolic. Intrinsic MV disease is often obscured by the uniform presence of dynamic MR, but presence of MR without SAM or presence of an anteriorly directed jet is strong evidence for intrinsic MV disease [10].

#### **MV** abnormalities

The true prevalence of mitral abnormalities in HCM is difficult to assess, as many abnormalities are poorly appreciated and often not documented. It is known that up to 59% of patients with HCM have at least one abnormality of the MV apparatus as a direct effect of genetic mutations [11]. MV abnormalities may be present in any component of the mitral apparatus (Leaflets, subvalvular apparatus and annulus) and thus numerous abnormalities have been described with wide variability among patients with both obstructive and non-obstructive types of HCM.

#### Leaflet abnormalities:

a. Excessive leaflet elongation and increased leaflet area are present in about 50% of patients and can involve one or both MV leaflets. Leaflet elongation

is a unique finding of HCM. However, the leaflet size is more related to chamber geometry and hypertrophy pattern rather than genetic factors within overt HCM [12]. The anterior leaflet averages 34 mm in HCM versus 24 mm in normal hearts. MV leaflets are longer in patients with obstructive HCM than with non-obstructive HCM. An increased ratio of anterior leaflet length to LVOT diameter is associated with resting and provocable obstruction [13]. A posterior leaflet of >14 mm was observed in carriers of a morbid HCM mutation, but without hypertrophy or obstruction which suggests sarcomere gene mutation rather than leaflet stress or ventricular remodeling [9]. The pathological series reported associated thickening of the elongated leaflet(s) as seen in myxomatous disease. Whether leaflet elongation is an early form or variant manifestation of myxomatous MV disease is unknown [14].

- b. Restrictive leaflets with valve calcification and thickening are the most common form of intrinsic MV disease identified. The mechanism of valve thickening and calcification is unknown, but the traumatic fibrosis from repetitive septal contact may result in retraction, and MR. In patients with restricted, calcified, and thickening leaflets with resting SAM, it is difficult to determine whether the MR is caused solely by SAM of the MV or is related in part to intrinsic MV disease [15].
- c. MV prolapse: The frequency of coexisting MV prolapse with HCM and the potential clinical significance of such an association are not studied well. MV prolapse was observed in 44% of the 45 patients with HCM [16] while in a larger group (528 patients), it was identified in only 3% [17].

**Subvalvular abnormalities:** Subvalvular abnormalities are described in more than 50% of HCM patients and include [18-20]:

- a. Hypertrophy of papillary muscle heads, with or without septal or posterior wall hypertrophy, which can cause mid cavity obstruction.
- b. Increased number of papillary muscles; 3-4 papillary muscle heads occur in more than 50% of HCM patients.
- c. Anterior and apically displaced papillary muscle that shifts the MV leaflets anteriorly toward the LV outflow and leads to chordal and leaflet laxity.
- d. Anomalous insertion of one or both heads of the anterolateral papillary muscle directly to the ventricular aspect of the tip, mid or base of anterior MV leaflet. It was recognized in up to 13% of patients with HCM and can contribute to LVOTO.
- e. Fibrotic and retracted secondary chordae can

result in tethering and tenting of the anterior MV leaflet toward the LVOT flow stream.

f. Chordal rupture is a common complication of repetitive stress on the mitral apparatus and involves essentially the posterior MV leaflet that leads to severe MR. Myxomatous degeneration may be the substrate for rupture in these patients. Chordal rupture should be considered in the differential diagnosis of acutely deteriorated MR in patients with HCM.

**MV** annulus abnormalities: Changes in size, shape, and angulation of the MV annulus in HCM are less appreciated. It was shown that mital annulus area is enlarged in both obstructive and non-obstructive HCM patients compared to normal as detected by 3D echocardiography and MRI. In both obstructive and non-obstructive HCM types, annulus dilatation occurs to a certain limit beyond which more stretch is prevented by the hyperactive muscular part even with significant MR [21,22]. Given the stressful hemodynamic loading conditions in HCM, marked mitral annular calcification may be seen [23].

# Approach to diagnose MR in HCM

**Echocardiography:** Echocardiography is the most informative technique aids in the diagnosis, risk stratification, treatment selection and follow up of HCM patients. Comprehensive trans-thoracic echocardiography (TTE) helps to understand the mechanism, characterize and assess severity of MR in patients with HCM. Transesophageal echocardiography (TEE) has strength in clarifying anatomy and mechanism of MR, with better 2D and 3D imaging of the annulus and leaflets. Intraoperative TEE during structural intervention allows real-time assessment of preoperative anatomy, hemodynamics, and mechanism of MR. Compared with preoperative TTE, intraoperative

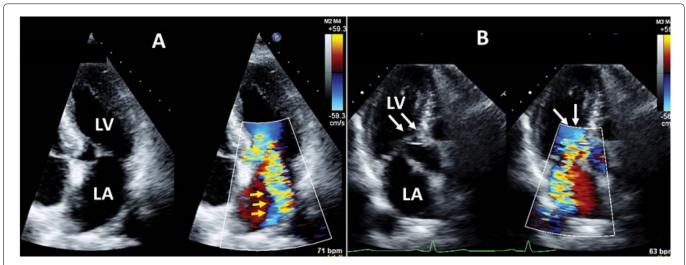
TEE identifies new findings in about 20% of patients with HCM that can significantly influence operative planning and outcome [24]. The echocardiographic assessment should include full information about the following:

• Quantification of MR: MR severity can be assessed by vena contracta and/or measurement of effective orifice area when it is feasible by 2D-TTE or 2D-TEE. 3D echocardiography enables the precise location of the regurgitant jet origin and direction and direct assessment of the size, shape and symmetry of the vena contracta area. Calculation of regurgitant volume and fraction is liable for error especially with SAMdependent MR due to LVOT morphology. All indicators of MR severity should be considered to obtain accurate MR quantification [25].

• Differentiation between SAM-dependent and SAM- independent types of MR using jet direction. An anteriorly directed jet suggests an intrinsic MV abnormality. Laterally and posteriorly directed jet predominates during mid and late systole in obstructive HCM and correlates highly with SAM (positive predictive value 94.9% on TTE and 97.1% on intraoperative TEE) (Figure 1). Isolated posterior jets detected by TTE had sensitivity and specificity of 65.1% and 67.9% for identifying SAM-mediated MR while by TEE, the sensitivity and specificity was 59.5% and 82.1% respectively [26].

• Morphologic assessment of MV: It is essential to obtain a careful and detailed anatomical description of MV apparatus [27-29].

- 1. Length of both MV leaflets in diastole from the annulus to its chordal insertion and their ratio.
- 2. Mobility of both leaflets: Measurement of the angle between the posterior LV wall and the basal part of the posterior leaflet (angle- $\alpha$ ) and the angle between the basal and distal parts of



**Figure 1:** 2D transthoracic echocardiography showed SAM-dependent significant MR; A) Apical 4-chamber view with color Doppler showed significant eccentric MR (Yellow arrows) and B) Apical long axis view showed narrowed LVOT by SAM (white arrow) with color acceleration.

the posterior leaflet (angle- $\beta$ ) can be used as indicator for posterior leaflet mobility. The larger the angles the greater the mobility.

- Leaflet coaptation length, depth and gap should be measured at end-diastole and can be used in the perioperative evaluation of the competency of the MV.
- Chordal thickening, elongation and degree of systolic buckling with interventricular septum should be assessed with a careful looking for aberrant chordae, partial or complete rupture
- 5. Number of papillary muscles (duplication/bifidity), apical/anterior displacement, hypertrophy and/ or anomalous papillary muscle attached directly into the MV should be considered.
- MV annulus diameter (anterior-posterior and inter-commissural) can be measured using 2D-TTE and/or TEE. 3D echocardiography enables measurement of annulus circumference and area.

**Cardiac magnetic resonance (CMR):** CMR helps to characterize MV and papillary muscle size, location and attachment. These findings are of potential value in understanding the mechanism of MR and planning surgery for the treatment of dynamic obstruction. CMR has superior spatial resolution and is not limited by imaging windows, therefore it should be considered in patients with suboptimal visualization of the MV or papillary muscles by echocardiography, or when the TEE is declined or contraindicated. Quantification of MR severity is performed more commonly by echocardiography. CMR quantifies MR as the difference between LV stroke volume and aortic or pulmonary forward flow [9,30].

**Cardiac computerized tomography:** Computerized tomography has been shown to reliably measure leaflet lengths, estimate the degree of annulus calcification, and assess papillary muscle abnormalities (number, position and extent of hypertrophy). However, the application of the technique for the assessment of MR in patients with HCM has not been established [31].

**Nuclear imaging:** Using nuclear imaging, it is possible to quantify the severity but not the mechanisms of MR as the difference between LV and RV stroke volumes.

#### **Treatment of MR associated obstructive HCM**

The treatment strategies of HCM are based upon observational data and clinical experience. The approach of treatment has largely been limited to symptomatic patient with obstructive HCM. For asymptomatic patients, close clinical follow-up is the preferred approach because there is no evidence for any therapeutic modality that it alters the natural history of the disease prior to the onset of symptoms.

Medical treatment: There have been no large

randomized trials of pharmacologic therapies in HCM. Current medical therapy of negative inotropic and chronotropic drugs including B-blockers, Ca channel blockers, and Disopyramide have a modest effect on LVOT gradient and should be avoided in severely symptomatic patients with severe resting LVOT gradient > 80 mmHg. Using these drugs even with combination failed to address the core pathophysiology of HCM without affecting its natural history [32]. Mavacamten is a novel cardiac myosin inhibitor targeting reduction of cardiac myocytes contractility by inhibiting ATPase activity of myosin. EXPLORER-HCM trial conducted 251 patients with obstructive HCM treated with Mavacamten on top of their previous B-blocker or Ca channel blocker therapy. Nearly three-fourths of the patients on Mavacamten showed significant LVOT gradient reduction below the guideline-defined threshold for invasive therapy (post-exercise LVOT peak gradient < 50 mmHg). Nearly 60% experienced a complete relief from obstruction (LVOT gradient < 30 mmHg) and about 30% reached a complete relief from both symptoms and obstruction (NYHA class I and exercise-induced gradient < 30 mmHg) [33]. Using CMR, EXPLORER-HCM sub-study showed that Mavacamten induced reverse cardiac remodeling, reducing LV mass index and maximum LV wall thickness, great reduction in LV volume index and a decrease in LV filling pressure. Compared to placebo, Mavacamten achieved restoration of MV motion and resolved SAM in 80.9% vs. 34% of patients with obstructive HCM and significant reduction of MR in 9% vs. 0% [34]. In non-obstructive HCM, MAVERICK-HCM trial (phase II) showed treatment with Mavacamten was associated significant reduction in cardiac biomarker which indicated improvement of myocardial wall stress [35].

Surgical treatment: There is controversy regarding the optimal surgical strategy whether to perform septal myectomy alone or concomitant mitral operation is needed?. Septal myectomy/extended septal myectomy is currently the gold standard for HCM with moderate to severe LVOTO and is class I indication for severely symptomatic patients refractory to optimal medical management. The surgical approach involves obtaining access to the interventricular septum via transaortic, transapical or transmitral approaches, and excising a portion of the hypertrophied myocardium to relieve the LVOTO. Adequate subaortic septal myectomy eliminates or ameliorates SAM of the MV, thus improving SAMdependent MR and reducing left atrial size in most patients if there is no intrinsic MV disease [2]. Data from a large series from five high-volume centers experienced in septal myectomy over the 15-year period have demonstrated very low operative mortality (0.4%) in 3700 isolated septal myectomy operations [36]. Concomitant MV surgery performed at the same time as myectomy have increasingly been applied in patients with mitral and papillary muscle anomalies as identified on preoperative echocardiography or by the direct surgical inspection. Some reports showed associated sustained improvement of SAM, MR, LVOTO, re-operation, and functional status. Some showed increased length of stay and increased hospital mortality [37,38]. No randomized controlled trial comparing the results of isolated myectomy versus myectomy plus MV repair. Only surgeon experience can judge that isolated myectomy will be inadequate to resolve the SAM. Additionally, pre-operative and intraoperative TTE and TEE can help in the detailed assessment of the complex anatomy of the LVOT, septal thickness, MV leaflet length, and papillary muscles abnormalities [39]. Similar to the recommendations regarding surgery for patients with asymptomatic MV disease, earlier surgery in patients with HCM should be limited to those comprehensive HCM centers with documented evidence of the highest success rates and lowest complication rates (i.e., durable success is > 90% with an expected mortality rate < 1%) [32]. Many concomitant MV surgical techniques were advocated and the selection between them will be individualized according to the great diversity of mitral abnormalities [40]. The most common techniques are:

1. Leaflet retention plasty [41-44]: Surgical restoration of the MV anatomy through modifying the anatomic alterations of MV leaflet(s) such as increased leaflet area, length and laxity. According to the echocardiographic data and surgical visualization of the MV apparatus, one or more of the following techniques will be selected:

- Leaflet resection for an unsupported scallop of the posterior leaflet and artificial chordae or plication for an unsupported scallop of the anterior leaflet with selective use of a flexible posterior annuloplasty band,
- Complete resection and replacement of all anterior leaflet chordae with the loop technique,

- Complete excision of secondary chordae of the anterior leaflet,
- Triangular resection of the anterior leaflet,
- Anterior leaflet extension with a pericardial patch,
- Sliding plasty of the posterior leaflet,
- Resection, Release and debulking of the anteriorly displaced papillary muscle.

2. Edge to edge repair: Repair of MV leaflets through placement of Alfieri stitchin addition to myectomy has also been used effectively for reduction of SAM and improvement in MR. The echocardiographic studies reported good short-term results without recurrence of significant MR during the median follow-up period (6.6 years) [45]. The edge-to-edge repair provides a simple, quick, and effective solution by abolishing the residual LVOT gradient and SAM-dependent MR when septal thickness is inadequate to allow a safe and effective myectomy [46].

3. MV replacement: The studies compared the results of MV repair and MV replacement showed that the MV repair had better overall survival (87.2 ± 4.9% and 96.7  $\pm$  3.3%; P = 0.034) and a lower rate of thromboembolic events (91.2  $\pm$  4.2% and 100%; P = 0.026) than MV replacement at 2-year follow up [2]. Every effort should be made to perform MV repair as the late survival also is superior with MV repair than with replacement (10year survival: 80.0% vs. 55.2%; p = 0.002) [47]. MV replacement alone can be a successful approach in cases unsuitable for repair.granular calcification, anterior MV leaflet fibrosis or when used alone in those patients with thinner septum unsuitable for myectomy [48]. It should be noted that MV replacement with a mechanical valve is the compelled strategy and the high-profile biological prosthesis is not a suitable strategy, given the additional risk of LVOT obstruction [49] (Figure 2).

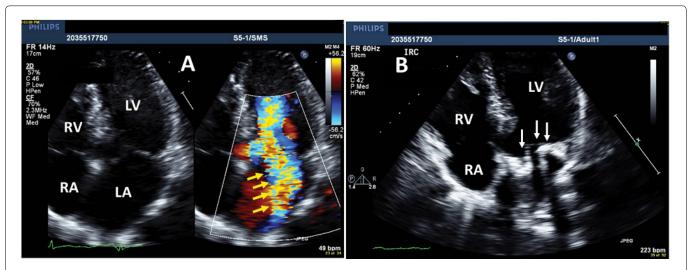
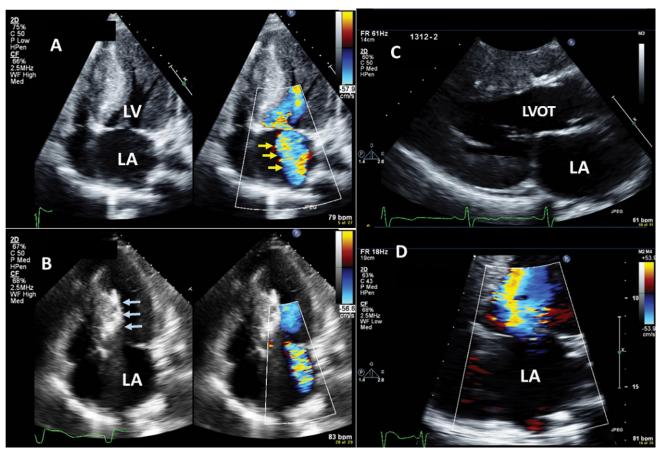


Figure 2: 4-chamber view of 2D-TTE obtained in a patient with obstructive HCM had severe MR; A) Color Doppler showed the eccentric jet of MR (yellow arrows); B) Same 4-chamber view without color after treatment by surgical myectomy and MV replacement with mechanical prosthesis (white arrows).



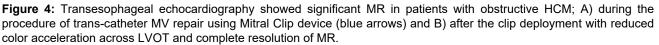
**Figure 3:** Example of patient with obstructive HCM and severe MR treated by Alcohol septal ablation; A) 4-chamber view showed the MR jet (yellow arrows) before septal ablation; B) 4-chamber view during septal ablation (blue arrows pointed towards the area of ablation); C) parasternal long axis after septal ablation showed relief of LVOT obstruction, and D) 4-chamber view showed no residual MR after septal ablation.

#### **Catheter-based therapies**

1. Alcohol Septal Ablation (ASA): The procedure consists of selective injection of 1.5 to 2.5 mL absolute alcohol into the major septal branch of the anterior descending artery through the cardiac catheterization. It will cause septal infarction (10% of the LV wall, 30% of the septum, on average), with the formation of scarring and septal reduction (Figure 3). Many investigators reported that ASA is a safe procedure with ongoing symptomatic improvement and excellent long-term survival. Liebregts, et al. [50] study included 1,197 patients who underwent ASA at seven centers in four European countries with mean follow-up period of  $5.4 \pm 4.2$  years. The annual mortality rates of young, middle-age, and older patients were 1%, 2%, and 5%, respectively. The second-largest long-term study included 952 German patients with a minimum 6-year follow-up. The estimated 5-, 10-, and 15-year survival rates were 95.8%, 88.3%, and 79.7%, respectively. Estimated survival free of cardiac events at 5, 10 and 15 years was 98.9%, 97.0%, and 96.5% respectively [51]. Alcohol septal ablation has become an alternative to surgical myectomy in select patients, such as those with advanced age, significant comorbidities, or who oppose open chest surgery. The procedure requires appropriate coronary anatomy and should be avoided in patients with high resting gradient ( $\geq$  100 mmHg) and septal thickness < 16 mm or  $\geq$  30 mm [52]. The decision between ASA and surgical myectomy is influenced by multiple variables including patient comorbidities as well as the experience of the performing providers.

2. MV repair: Transcatheter MV plication is a potential therapeutic option in symptomatic HCM patients who are not surgical candidates and/or do not have optimal coronary anatomy for ASA. The technique offers several potential advantages as it is less invasive, does not create a potentially arrhythmogenic ablation scar requiring a permanent pacemaker, and it targets the MV directly, that causes the LVOTO. Several small studies have investigated transcatheter MV plication (MitraClip) to reduce SAM and LVOT obstruction (Figure 4). Sorajja, et al. [53] published the first experience in the world with placement of a single MitraClip in 5 patients. Follow-up echocardiography after 15 ± 4 months demonstrated eliminated SAM and markedly decreased the intraoperative LVOTO and MR.





Although these studies were limited by size and adequate follow-up. Thomas, et al. [54] collected data from 4 separate studies on the use of the MitraClip on 15 symptomatic HCM patients with SAM. All patients had a resolution of SAM, a reduction in MR, and a reduction in the LVOT gradient from a mean of 75.8  $\pm$  39.7 to 11.0  $\pm$  5.6 mmHg. More data are needed to determine the long-term efficacy of MitraClip for HCM and whether subsequent interventions with repeat clip, ASA, or myectomy are needed. Future devices may offer additional opportunities to address MV abnormalities in HCM [55].

3. Radiofrequency ablation (RFA): RFA is both minimally invasive and independent of coronary anatomy technique where the energy is is applied to the endocardial surface of the basal interventricular septum from a retrograde aortic or trans-septal approach for septal reduction using electro-anatomic or echocardiographic guidance. Several small series reported modest septal reduction (1-2 mm compared to  $\sim$  5-6 mm with ASA), likely due to the limited subendocardial delivery of energy and lesion formation [56]. Percutaneous intramyocardial septal RFA was reported in a series of 15 patients using TTE to guide transapical placement of an intraseptal RF electrode that delivers energy to the core of the hypertrophic segment. Septal reduction of 11 mm was achieved with resolution of LVOT obstruction, improvements in NYHA class, and reduced serum NT-proBNP at 6 months. Importantly, there was no conduction defect, likely due to selective delivery of RF energy in the deep septum away from the subendocardial His-Purkinje fibers [57]. Collective data from eight studies with 91 patients (mean follow up 11.6 months) showed that RFA might become a promising invasive treatment for patients with obstructive HCM who are still symptomatic despite receiving maximally tolerated drug therapy. However, a large-scale randomized controlled trial comparing RFA with ASA or myectomy is needed to further assess the efficacy and safety of RFA [58]. The effect of RF on the reduction of SAM-dependant MR was not addressed well in the previously mentioned studies.

#### Treatment of MR associated non-obstructive HCM

Despite the fact that non-obstructive HCM have high rates of adverse clinical events, almost equivalent to those with obstructive HCM, no data available for the management of non-obstructive HCM [59]. Treatment of non-obstructive HCM associated significant MR is challenging. With the development of heart failure in non-obstructive HCM, the current pharmacological treatment does not differ from general heart failure management, except for peculiar aspects e.g. genetic counselling and testing [60]. Early referral of patients with HF and moderate or severe MR to a multidisciplinary Heart Team is recommended for assessment and treatment planning. Isolated MV surgery may be considered in symptomatic patients with severe MR despite optimal therapy and low surgical risk [61]. For patients with asymptomatic MV disease, earlier surgery in patients with HCM should be limited to those comprehensive HCM centers with documented evidence of the highest success rates and lowest complication rates (i.e., durable success is > 90% with an expected mortality rate < 1%) [62].

# Conclusion

In summary, MR associated HCM needs a special interest, as the optimal approach of its management is completely dependent on the better understanding of the mechanism. Integrated imaging modalities should be used to obtain detailed morphological and functional assessment of MV. Even with the availability of large published data and updated guidelines, the standard treatment of MR is still controversial.

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