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Hierarchical Linear Modeling Application in Analyzing Occupational Injuries Related Factors for Nurses in Taiwan

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Abstract

Nurses are prone to occupational injuries due to the nature of their roles in the medical care environment. To narrow the gap in literature, this research analyzes the relevant individual- and hospital-level factors of occupational injuries suffered by nursing personnel in Taiwan by targeting those with occupational injury records in the 2013 National Health Insurance Research Database. A two-level research model consisting of the individual demographic data, hospital category and types, and individual medical expenditures with three hypotheses was formulated. Descriptive statistics were performed and four hierarchical linear models were established and analyzed using SPSS 20.0 and HLM 7.01. The result showed that 5,599 nursing personnel had outpatient treatments for occupational injuries, and made more than three medical visits, with a corresponding US\$124 in medical expenditure per person on average in 2013. Specifically, post-operative shock was the major diagnosis among the top ten injuries suffered by nursing personnel. The results of the random regression coefficient model and intercept model indicate that the age of nursing personnel at the individual level and the public/private sector type at the hospital level have positive effects on the individual medical expenditures of nursing personnel. It is recommended that the hospital management should reinforce training of nursing personnel to reduce the occurrence of occupational injuries and corresponding medical expenditures, especially for private hospitals and younger nurses.

Keywords

Nurses, Medical expenditures, Occupational injuries, National Health Insurance Research Database, Hierarchical linear modeling

Introduction

Nurses in Taiwan work an average of 9.5 hours per day [1] and due to such long working hours they are therefore highly prone to a number of occupational injuries and occupational diseases. According to Article 2 of the 2013 Occupational Safety Act in Taiwan, occupational accidents refer to diseases, injuries, disabilities, or deaths of workers caused by building structures, machinery, equipment, raw materials, chemicals, gas vapor, dust in the workplace, or other work activities [2]. Because of the nature of nursing work, personnel in this profession are highly prone to certain injuries, such as lower back pain [3,4] and needlestick incidents, which are reported worldwide [5-9], or diseases, such as breast cancer [10]. Hence, the occupational safety of the nursing profession has become an important research topic.

The occurrence of occupational diseases and injuries translates to an increase in medical expenditures. In 2013, 297 cases received occupational injury benefits from healthcare and social work services labor insurance in Taiwan, which increased to 311 cases in 2014. The corresponding payment appears to be a 9.2% increase in the medical benefits given due to occupational injuries [11,12].

It is important to analyze the proportions and relevant factors of occupational diseases and occupational inju-

ries among nursing personnel for their health concerns, as well as the change of management or policy by hospital executives or the National Health Insurance Administration in Taiwan. Hierarchical Linear Model (HLM), is an analytical technique for hierarchical data. This research includes individual occupational injuries and diseases due to personal factors or work place (hospital) factor, or both. In view of the nested structure between individual (nurses) and group (hospital) constructs, due to the mediating impact of group level on individual level. Specifically, the National Health Research Database (NHRI) and Hierarchical Linear Modeling (HLM) are considered for the following reasons. First, currently, since no study has used the NHRI to explore the medical expenditures and financial burden arising from occupational diseases and injuries of nursing personnel, this study uses the 2013 NHRI to analyze the kinds of treatments sought by nursing personnel, the types of diagnoses of occupational diseases and injuries, medical health insurance payments, and the proportion of occurrence. Second, HLM generally divides the data structure into two levels, such as nurse personnel and hospital, and then analyzes the causal effects of variables at same or different levels as well the moderations on the relationship between variables at different levels [13]. Therefore, this study applies hierarchical linear models to analyze the relevant factors and other effects of occupational diseases and injuries occurring among nursing personnel with corresponding hospital differences using the NHRI database.

Methods

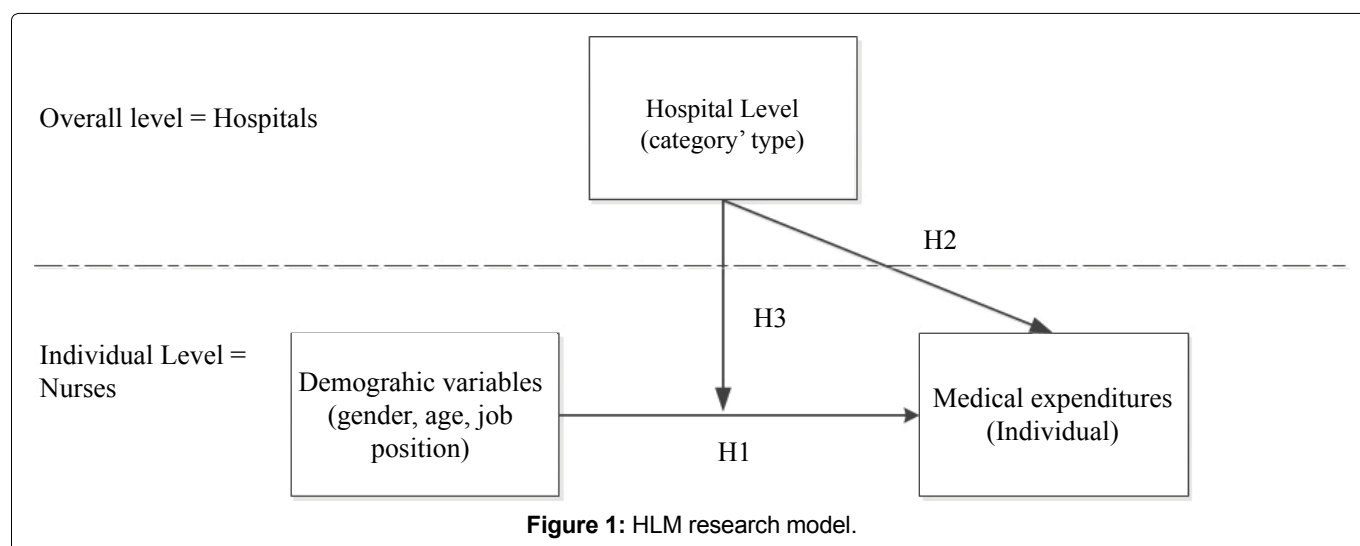
The research approach uses an observational method, which adopts the NHRI data from the National Institute of Health in 2013 and targets nursing personnel suffering from occupational diseases or injuries.

Large computerized databases derived from this system by the National Health Insurance Administration, Taiwan and maintained by the National Health Research Institutes, Taiwan, are provided to scientists in Taiwan for research purposes. Data in the National Health Insurance Research Database (NHIRD) that can be used

to identify patients or care providers, including medical institutions and physicians, is scrambled before being sent to the National Health Research Institutes for database construction and is further scrambled before being released to each researcher. The project obtained the KAOHSIUNG VGH Institutional Review Board through the special research project of Kaohsiung Veterans General Hospital (project number: VGHKS15-CT5-06). Sources of data include age, gender, job position, and place of hospital practice from the Registry for Medical Personnel (PER). From data on Occupational Diseases and Occupational Injuries (OC), the study obtained outpatient prescriptions from Ambulatory Care Expenditures by Visits (CD), putting it under a payment category with a value of either 1 (occupational injury) or 2 (occupational disease). Data for the registered nurse (RN) or licensed practical nurse (LPN) who is working in the hospital were sampling from Taiwan's National Health Insurance Research Database (NHIRD) in Taiwan. Nursing personnel can be categorized into Registered Nurse (RN) or Licensed Practical Nurse (LPN) while age is categorized into below 30, 31-40, 41-50, or 51 and above. Based on the scale of operations, hospitals can also be categorized into medical center, metropolitan hospital, local community hospital, or clinic. The sector type for hospitals is either public or private.

Documentary Analysis

This study uses HLM to conduct analysis by dividing the data structure into two levels, nurse personnel at the individual level and hospital at the overall level, and analyzing the causal effects and moderations within the nest model [14]. Consequently, this study first uses SAS 9.3 to convert the NHRI text files before using SPSS statistical software 20.0 to analyze the descriptive statistics and HLM 7.01 to establish relevant hierarchical linear models for regression analyses. In the proposed HLM research model, the individual level covers the medical expenditures of nursing personnel for occupational disease and injury as well as demographic variables such as age, gender, and job position (RN or LPN). The model for the over-



all level was established according to the hospital's scale of operations and sector type. The proposed research model was formulated to respond to the research objectives with the considered variables, as shown in Figure 1, with the corresponding proposed hypotheses:

Hypothesis 1: The demographic variables at the individual level (gender, age, and job position) have positive effects on the individual medical expenditures of nursing personnel.

Hypothesis 2: The hospital category and type at the overall level have positive effects on the individual medical expenditures of nursing personnel.

Hypothesis 3: The hospital category and type at the overall level have moderating effects on the relationship between demographic variables at the individual level and the individual medical expenditures of nursing personnel.

Results

Four tables of relevant descriptive statistics are briefly summarized in this section. As shown in Table 1, in 2013, Taiwan had 176,414 nursing personnel, 141,968 (80.4%) of which were registered nurses and 173,651 (98.4%) of which were female. The average age was 34, with the largest age group (73,839, equivalent to 41.8%) being between the ages of 31 and 40. At the hospital level, metropolitan hospitals accounted for the largest category (57,522 individuals, equivalent to 32.6%). In terms of sector type, private hospitals had the highest number of nursing personnel (128,261 individuals, equivalent to 72.7%).

As shown in Table 2, data from the 2013 NHRI shows that 5,599 nursing personnel had outpatient treatments for occupational injuries, and made a total 17,742 medical visits, of which 4,822 registered nurses made 14,222

Table 1: Descriptive statistics of the 2013 nursing personnel.

Individual level					Overall level						
Variable	Category	Sub-category	Subtotal (%)	Total (%)	Variable	Category	Sub-category	Number	%	Total (%)	
Nursing personnel	Nursing	RN	141,968 (80.4)	176,414 (100)	Hospital category	Medical centers	RN	44,665	31.5	47,930 (27.2)	
		LPN	34,446 (19.6)				LPN	3,265	9.5		
Gender	Female	RN	139,478	173,651 (98.4)		Metropolitan hospitals	RN	50,571	35.6	57,522 (32.6)	
		LPN	34,173				LPN	6,951	20.2		
	Male	RN	2,490	2,763 (1.6)			Local community hospitals	RN	22,268	15.7	31,580 (17.9)
		LPN	273					LPN	9,312	27	
Age	< 30	RN	46,577	51,911 (29.4)		Clinics	RN	24,464	17.2	39,382 (22.3)	
		LPN	5,334				LPN	14,918	43.3		
	31-40	RN	59,788	73,839 (41.8)		Public hospital	RN	42,655	30	48,153 (27.3)	
		LPN	14,051				LPN	5,498	16		
	41-50	RN	27,436	34,146 (19.3)	Private hospital	RN	99,313	70	127,261 (72.7)		
		LPN	6,710			LPN	28,948	84			
	> 50	RN	8,167	16,518 (9.4)							
		LPN	8,351								

RN: Registered Nurse; LPN: Licensed Practical Nurse.

Table 2: 2013 Medical expenditures of nursing personnel for occupational diseases and injuries.

Individual Level					
	Variable	No. of individuals (No. of Visits)	Medical expenditure sub-total US\$ (%)	Personal Medical expenditure US\$	Total US\$ (%)
Nursing personnel	Registered nurses	4,822 (14,222)	563,191 (81.4%)	117	692,258 (100%)
	Licensed practical nurses	777 (3,520)	129,067 (18.6%)	166	
Gender	Female	5,438 (17,223)	675,056 (97.5%)	124	692,258 (100%)
	Male	161 (519)	17,202 (2.5%)	107	
Age	< 30	2,884 (7,449)	283,085 (40.9%)	98	692,258 (100%)
	31-40	1,951 (6,634)	261,758 (37.8%)	134	
	41-50	565 (2,523)	99,034 (14.3%)	175	
	> 50	199 (1,136)	48,381 (7%)	243	
Overall Level					
Hospital category	Medical centers	1,695 (4789)	187,599 (27.1%)	111	692,258 (100%)
	Metropolitan hospitals	2,211 (6062)	269,124 (38.9%)	122	
	Local community hospitals	942 (2864)	112,476 (16.2%)	119	
	Clinics	751 (4,027)	123,059 (17.8%)	164	
Sector type	Public hospitals	1,039 (2,792)	132,732 (19.2%)	128	692,258 (100%)
	Private hospitals	4,560 (14,950)	559,526 (80.8%)	123	

New Taiwan Dollar (NT\$) with the exchange rate of US\$1 = NT\$30.8 as of September 18, 2018.

Table 3: Relevant statistics of treatment categories by nursing personnel seeking treatment for occupational injuries.

Category of Visits	No. of Individuals	No. of Visits	Medical Expenditures US\$
Emergency Medicine	1,514	1,606	127,070
Family Medicine	1,283	2,143	71,858
Infectious Disease	897	1,586	51,801
Physical Therapy	758	4,150	175,371
Internal Medicine	705	1,387	65,034
Orthopedics	683	2,218	65,326
Gastroenterology	471	942	37,770
Surgery	466	920	26,169
Chinese Medicine	179	1,264	27,906
Occupational Medicine	143	269	6,964
Ophthalmology	141	299	4,934
Plastic Surgery	111	340	10,157
Neurosurgery	98	190	7,378
Neurology	49	162	6,508
Dermatology	43	74	1,385
OB-GYN	19	31	1,871
Urology	15	18	611
EENT	15	37	970
Psychiatry	13	28	1,119

New Taiwan Dollar (NT\$) with the exchange rate of US\$1 = NT\$30.8 as of September 18, 2018.

visits and 5,438 females made 17,223 visits. Occupational injuries primarily occurred in the below 30 age bracket (2,884 personnel making 7,449 visits). In 2013, medical expenditures for nursing personnel who sustained occupational disease and injury amounted to about US\$692 thousand, an average of US\$124 per person. The figure was US\$563 thousand for licensed practical nurses, which was considered a comparatively higher amount while for female nursing personnel, medical expenditures amounted to US\$675 thousand, exceedingly higher than their male counterparts. Furthermore, medical expenditures for occupational disease and injury sustained by individuals below 30 was the highest at US\$283 thousand.

As seen in Table 3 ranked by number of visits, for nursing personnel, emergency medicine was the medical field they sought the most treatment from, with medical expenditures amounting to about US\$127 thousand. This is followed by family medicine, with medical expenditures at US\$71 thousand, and infectious disease, with medical expenditures at US\$51 thousand. With the data from the clinical visits for occupational disease and injury showing that the number of people who visited was higher than the number of nursing personnel who sustained occupational injuries, it is evident that some nursing personnel may visit more than two medical categories after sustaining occupational disease and injury.

Table 4 shows the top 10 major occupational diseases and injuries of nursing personnel, the number of personnel seeking treatment, and the amount of medical expenditures. For registered nurses, post-operative shock was the major diagnosis when treatment was

Table 4: Top 10 major diagnoses, number of individuals, and medical expenditure of nursing personnel seeking treatment for occupational injury, 2013.

Registered nurse		Licensed practical nurse				
Main diagnoses of visits	No. of individuals	No. of visits	Medical Expenditure US\$	No. of individuals	No. of visits	Medical Expenditure US\$
Post-operative shock	1,520	2,758	106,758	184	321	12,827
Open wound on finger	491	774	35,418	62	116	4,207
Lower extremity contusion	219	516	19,107	51	203	4,113
Upper extremity contusion	156	302	12,203	35	152	8,566
Other soft tissue disorders	143	510	14,705	35	149	4,227
Other superficial injuries in multiple and unspecified locations	139	246	8,280	35	84	2,523
Medical complications, NEC	133	228	10,338	31	130	4,189
Intervertebral disc disorders	114	503	18,342	29	143	5,416
Torso contusion	111	201	8,257	24	114	3,539
Other back disorders	90	348	11,209	21	106	2,306
Surrounding bone lesions and related syndromes	80	281	11,805	19	108	3,974
Other disorders of the bursa, tendons and synovial capsule	56	234	6,981	12	73	3,011
Fracture of the tibia and fibula	27	213	5,741	5	63	2,799

New Taiwan Dollar (NT\$) with the exchange rate of US\$1 = NT\$30.8 as of September 18, 2018.

Table 5: 2013 HLM results on the occupational injuries of nursing personnel.

Parameter analysis	Null model ^a Coeffi/S.E.	Random coefficient regression model (RCRM) Coeffi/S.E.	Intercepts-as- outcomes model (IAOM) Coeffi/S.E.	Intercept- and slopes- as outcome model Coeffi/S.E.
Intercept values	3.892 (0.198)***	-0.602 (1.434)	2.838 (0.240)***	0.622 (0.897)
Individual level				
Age		0.149 (0.027)***		0.144 (0.026)***
Gender		0.268 (1.031)		0.294 (0.327)
Position		-0.572 (0.531)		-0.837 (0.362)*
Overall level				
Sector type			0.417 (0.103)***	-0.509 (0.605)
Hospital category			0.389 (0.917)	0.008 (0.167)
Group variance	170.707	163.196	170.778	169.646
Deviance	44707.972	44572.882	44697.764	44654.342

^aICC1 = 1.1534/1.1534 + 170.70783 = 0.007 is considered as a low degree of correlation; *p < 0.05; **p < 0.01; ***p < 0.001.

sought, which was also the most common diagnosis made during medical visits and the one that costed the most, about US\$106 thousand. For licensed practical nurses, post-operative shock was also the major diagnosis when treatment was sought; it was the most common diagnosis made during medical visits and the one that costed the most, about US\$12 thousand.

This section summarizes the relevant testing results of the three hypotheses by HLM behind occupational diseases and injuries sustained by nursing personnel, as shown in Table 5. First, the null model was used to calculate the correlation coefficient within the group, and the degree of association between the dependent variables (medical expenditures) and the group was analyzed [15]. The formula for the intra-class correlation coefficient 1 (ICC1) is $\tau_{00}/(\tau_{00} + \sigma^2)$, and the ICC1 value of 1.1534/1.1534 + 170.70783 = 0.02 belongs to a low degree of association according to the range of low intensity association, $0.010 \leq \rho < 0.059$, by Cohen (1988) [16]. That is, there is a relatively low but still significant difference between personal medical expenditures of nursing personnel among hospitals.

Second, the result of the random regression coefficient model indicates that the age of nursing personnel at the individual level has a positive effect on the individual medical expenditures of nursing personnel, which partially supports Hypothesis 1. In a survey of 3,914 nursing personnel in Taiwan, the occurrence of musculoskeletal disorders (MSDs) was highest in the 20-24 age bracket and lowest in the above 60 group, which echoes the theory of the age of nursing personnel being one factor affecting occupational disease and injury [17]. In a study conducted on 1,489 nursing personnel by a Swiss university hospital, the occurrence of needlestick and sharps injuries was also higher among individuals between the ages of 16 and 25 [18].

Third, the results of the intercept model indicate that only the public/private sector type at the hospital level has a positive effect on the individual medical expenditures of nursing personnel, which also partially

supports Hypothesis 2. This result is supported by an analysis of outpatient costs from the 2003-2009 health care databases in Taiwan, which concludes that medical personnel in private hospitals have a higher probability of experiencing occupational disasters than those in public hospitals [19]. In the literature, private and public hospitals in Taiwan have significant differences in many aspects, such as on efficiency [20], service quality [21], and physician-compensation arrangement [22].

Fourth, the results of the complete model point out that both hospital category and type at the hospital-level have no moderating effects on the individual-level relationship between the demographic variables and the personal medical expenditures of nursing personnel, which fails to support Hypothesis 3 [23].

Discussion

This study uses data from the 2013 NHRI to look at the proportion of occupational injuries among nursing personnel as well as the relevant factors. At work, nurses often suffered lower back pain due to helping turn patients' bodies over in bed, needle stick incidents, breast cancer due to night shift rotations, and other occupational diseases. For example, an early investigation of 541 nurses in Taichung found that 46.02% of the subjects suffered lower back pain, of which 55.42% occurred within one year after the beginning of their nursing career [24]. Among the nursing personnel in Taiwan in 2013, the proportion of occupational injury occurrences was 5,599/176,414 = 3.17%, in which the proportion for registered nurses was 4,822/141,968 = 3.39% while for licensed practical nurses was 777/34,446 = 2.25%, showing that the occurrence rate for registered nurses was relatively higher. In a survey conducted by the Canadian-based Occupational Health and Safety Agency for Healthcare (OHSAH), the annual rate of all injuries for full-time workers was 7.4% [25], which is higher than that in our case. Another survey also shows that nursing personnel belong to the group of workers with the highest percentage of occupational injuries (86%, 284/330) among medical personnel [26]. Consequently, there

is a real need for exploration and improvement, given that nursing personnel are on the frontline of serving patients, but there are many existing factors causing occupational injuries in their work environment.

The proportion of occupational diseases and injuries occurring in female nursing personnel is 5,438/173,651 = 3.13%, while the proportion in their male counterparts is 161/2,763 = 5.82%, which indicates a higher occurrence rate for male nursing personnel. In terms of age, the proportion of occupational diseases and injuries occurring in individuals is 2,884/51,911 = 5.56% for those below 30, 1,951/73,839 = 2.64% for those between 31 and 40, 565/34,146 = 1.65% for those between 41 and 50, and 199/16,518 = 1.2% for those above 50. Results show that the younger the nursing personnel, the higher the proportion of occupational injury occurrence, but the older the age, the more personal medical expenses is used (Table 2). Possible reasons for this may include more extensive work experience and better occupational injury prevention training. However, a similar survey [27] of 680 medical and nursing personnel shows that the proportion of occupational injury occurrence was 77.3% for individuals with less than five years of working experience, 79.6% for those with 5 to 10 years of work experience, and 82.3% for those with more than 10 years of work experience, which contradicts the results of this study. A probable reason may be that individuals who seek treatment for light injuries may not inform their doctors that the injuries were sustained on the job. Hence, these injuries would not be recorded within NHRI as being occupational in nature.

At the hospital level, the proportion of occupational injury occurrence is 1,695/47,930 = 3.54% at medical centers, 2,211/57,522 = 3.84% at metropolitan hospitals, 942/31,580 = 2.98% at local community hospitals, and 751/39,382 = 1.91% at clinics. This shows that the proportion of occupational injury occurrences is the highest in nursing personnel working in metropolitan hospitals, followed by those working in medical centers. In terms of sector type, the proportion of occupational injury occurrence at public hospitals is 1,039/48,153 = 2.16%, lower than the proportion for nursing personnel at private hospitals, which is 4,560/128,261 = 3.56%. Similar to the results of this study, the top occupational injuries of psychiatric nursing staff in Taiwan were musculoskeletal pain and numbness from lower back pain, and their coping strategy had statistically significant differences by public and private hospitals among other factors [28]. Studies have pointed out that stress and anxiety have a significant impact on the risk of WRMSDS [29]. A possible reason may be due to either nursing personnel in private hospitals working under higher pressure or to longer working hours. In the study by Liu, et al. and nurses in Taiwan to be overloaded in terms of both overtime and patient-nurse ratios, to recommend the Department of Health to stipulate a maximum patient-nurse ratio for each of hospitals' three daily shifts

as a basic requirement of medical institutions [30].

In the 2013 NHRI, the major diagnosis for nursing personnel seeking medical treatment for occupational injury was post-operative shock ($n = 1,704$) with the proportion of occurrence being $1,704/176,414 = 0.97\%$, followed by occupational injuries involving the musculoskeletal systems (icd9 = 710-739) with a total of 616 registered nurses and 188 licensed practical nurses representing a proportion of occurrence of $804/176,414 = 0.46\%$. Literature supports similar results, such as an annual occurrence rate for musculoskeletal injury for full-time workers of 5.9% [25] as well as occurrence proportions of occupational injuries sustained by 160 nursing personnel in terms of lower back pain (35%), neck pain (31.3%), shoulder pain (25.2%), and wrist pain (17.8%) [31]. In 2013, medical expenditures for occupational diseases and injuries sustained by registered nurses amounted to about US\$563 thousand, while the figure for licensed practical nurses was about US\$129 thousand, totaling US\$692 thousand, which is a significant amount of health insurance resources being consumed, leading to an increase in medical expenditures [32].

By applying HLM to test the three hypotheses for the relevant factors of occupational disease and injury in nursing personnel, this research identifies that the age and job position at the individual level, as well as the sector type of the hospital they work in at the hospital level do increase their personal medical expenditures. Nevertheless, the hospital-level factors do not moderate the relationship between the demographic variables and personal medical expenditures. The partially validated Hypothesis 2 and non-validated Hypothesis 3 also confirm that the relatively low significant ICC estimate does reflect a weak need for the use of HLM regarding the type, public versus private, of hospital differences. In summary, Umesh, et al. clearly stated that nursing personnel should improve their awareness of occupational disease and injury and formulate improvement strategies to prevent the occurrence of occupational disease and injury and reduce the financial burden of medical expenditures arising from them [33]. This is particularly important to the administrators in private hospitals which have a higher occurrence rate than that of public hospitals according to the descriptive analyses above.

Limitations and Further Study

Two research limitations are briefly summarized as follows: First, not all nursing personnel who got sick or were injured on the job sought treatment, and thus the actual number of those with occupational injuries may be higher than what the NHRI data indicates. Second, the use of NHRI data is a form of secondary data analysis [34], and thus when interpreting the results, Type 1 error due to atomic fallacy [35] may be easily introduced by inferring the hospital-level analyses from the individual-level analyses. A supplementary study in the imme-

diate future may apply actual survey questionnaires to collect data on items such as the nature of work, number of working hours, and specific job descriptions, for integrated analyses with the NHRI database to achieve more comprehensive analyses of relevant factors causing occupational injuries to nursing personnel.

Conclusions

The occurrence of occupational disease and injury is very common among nursing personnel. The study uses NHRI data to analyze the medical expenditures incurred due to occupational injuries, major diagnoses made during patient visitation, as well as the factors behind these injuries. By utilizing HLM to explore the relevant factors behind occupational injuries of nursing personnel, the study finds that the age and job position of nursing personnel as well as public or private type of affiliation can have a direct impact on occupational injuries. However, there is no significant moderating effect of hospital category or type on the relationship between demographic variables and personal medical expenses at the individual level, which may be due to the relatively small sample size in the 2013 NHRI database, compared to other countries. Even with weak hospital level influence, and only direct effect of public and private hospitals, all medical institutions should draft relevant policies for occupational safety and form occupational safety monitoring units. Furthermore, they should reinforce training of medical and nursing personnel to reduce the occurrence of occupational injuries, which would, in turn, decrease consumption of medical resources and improve the quality of work and life of nursing personnel, especially for the larger number of private hospitals with higher occurrence rates.

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Conflicts of Interest

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