



## RESEARCH ARTICLE

## Upper Airways Modification Following Mandibular Thrust Treatment

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### Abstract

**Aim:** The aim of the study is to evaluate morphological changes in upper airways in patients undergoing therapy with removable functional orthopedic devices used in the treatment of the II skeletal classes by mandibular retraction.

**Materials and methods:** 15 patients treated with mandibular thrust have been selected and the digital images of latero-lateral telerradiographs prior to treatment and subsequent treatment have been retrieved. Cephalometric analyzes were performed using the Delta-Dent software.

**Results:** The cases treated with Occlus-o-Guide (OG) compared with the cases treated with activators of Andresen (A) and Frankel (F) had a greater effect on decreasing ANB, greater inhibition of maxillary protrusions, a general increase in Ar-Pog; Cases treated with F showed a slight increase in the angle of divergence with respect to cases treated with A and lower mandibular forward stimulation than Andresen activator treated cases, a greater increase in the depth of the upper portion of the oropharynx with activator of A and F compared to OG.

**Conclusions:** The treatment of skeletal classes II by mandibular retrusion involves an increase in the postero-front extension of the pharynx. The effects of the 3 mandibular propulsions are superimposable.

### Keywords

Upper airways, Occlus-O-Guide, Frankel, Andresen, Thrust treatment

### Abbreviations

UAS: Upper Airway Stenosis; OSAS: Obstructive Sleep Apnea Syndrome; CBCT: Cone Beam Computed Tomography; CPAP: Continuous Positive Airway Pressure; RPE: Rapid Palatal Expander; AS: Start Age of Treatment (years); AE: End Age of Treatment (years)

### Introduction

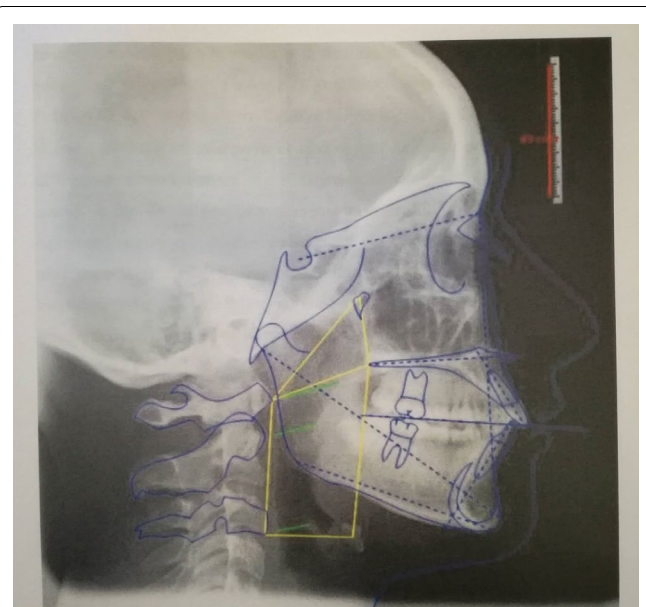
Upper Airway Stenosis (UAS) causes considerable functional repercussions on the stomatognathic and inferior airways. The correlations between stomatognathic system and respiratory function and the different evaluation methods of the latter have been topics often studied by the Ortognatodontic School of the University of Milan. In an article published in 1985 [1] Prof. G. Farronato and Prof A. Salvato presented the results of a study on two distinct samples, the first comprising pediatric patients "nasal respirators", the second age pediatric patient "oral respirators". In particular, the results of the rhinomanometry performed on these patients were compared with those of the cephalometric analysis of their skull telerradiographs in latero-lateral and postero-anterior projection. In a recent article [2] of 2005, we analyzed changes in the UAS after Andresen monoblock therapy in patients with skeletal class II and Obstructive Apnea Syndrome Sleep (OSAS); In this case the evaluation was done by Cone Beam Computed Tomography (CBCT) tomography.

The skeletal II class is generally associated with a mandibular retraction condition which is for functional problems related to upper airways [3]. According to Muto, et al. [4], mandibular retraction, reduced mandibular body length and mandibular growth in post rotation can lead to a reduction in UAS's perpetuity. Study of UASs and their correlation with mandibular position and size is of utmost importance in the field of orthodontic diagnosis also with regard to Obstructive Sleep Apnea Syndrome (OSAS), frequently treated with a mandibular advancement, orthopedic or surgical procedure, depending on the therapeutic timing. If the space of the





**Figure 1:** Teleradiographs prior to treatment (T0).



**Figure 2:** Teleradiographs subsequent treatment (T1).

The line parallel to the SN plane passing through C1 intersects the posterior pharyngeal wall and the frontal pharyngeal wall at the following points:

OSP: Upper Posterior Oropharyngeal

OSA: Upper Anterior Oropharyngeal

The line parallel to the SN plane passing through C2 intersects the posterior pharyngeal wall and the frontal pharyngeal wall at the following points:

OMP: Medial Posterior Oropharyngeal

OMA: Medial Anterior Oropharyngeal

The line parallel to the SN plane passing through C3 intersects the posterior pharyngeal wall and the frontal pharyngeal wall at the following points:

OIP: Lower Posterior Oropharyngeal

OIA: Lower Anterior Oropharyngeal

Finally, the area of a triangle having the vertices C1, Pt, Snp of a quadrilateral with the vertices C1, Snp, Hy, C3 and the summation of the above mentioned areas have been calculated.

All cephalometric pathways were performed three times by the same operator at two-week intervals and for each parameter the mean value was calculated from the 3 values found.

The collected data were subjected to statistical analysis. The mean and standard deviation was calculated for each parameter studied within each sample, and mean and standard deviations were also calculated by considering all 3 sample patients together. A specific statistical analysis was also carried out of the variations that affected each angular or linear parameter; Even in this case the mean and standard deviation was computed and the data were compared in pairs (monoblock vs. Occlus-O-Guide, Frankel vs. monoblock, Frankel vs.

Occlus-O-Guide) by applying Student's test as there It was wondered whether it was possible to define statistically significant differences with respect to variations and therefore whether one of the three groups showed better results with respect to the increase in airway depths higher than the other two.

All data of all three groups were compared using the Pearson correlation index ( $r$ ) useful to determine if the variables studied are associated or not and their possible degree of association.

## Results and Discussion

The results of the cephalometric analyzes performed are summarized in the following tables: For each parameter, there are angular or linear values before RPE and mandibular thrust, after treatment end and variation ( $\Delta$ ) (Table 1, Table 2 and Table 3).

We observed about skeletal variations:

- A greater effect on the decrease of the ANB angle in the cases treated with Occlus-O-Guide compared to cases treated with activator of Andresen and Frankel I or II (average values of  $\Delta$  relative to ANB are  $-3.04^\circ$  respectively,  $-2.24^\circ$ ,  $-2.12^\circ$ ).

- Increased inhibition of maxillary protrusions in patients treated with Occlus-O-Guides ( $\Delta = -1.66^\circ$ ) compared to patients treated with Andersen monoblock ( $\Delta = 0.68^\circ$ ); Student's test in this case showed a statistically significant difference ( $p = 0.028$ ).

- Increased mandibular forward stimulation in patients treated with monoblock ( $\Delta$  SNB =  $2.88^\circ$ ;  $\Delta$  SND =  $2.64^\circ$ ) compared to patients treated with Frankel ( $\Delta$  SNB =  $1.38^\circ$ ;  $\Delta$  SND =  $1.72^\circ$ ) and Occlus-O-Guides ( $\Delta$  SNB =  $1.36^\circ$ ,  $\Delta$  SND =  $0.98^\circ$ ).

- An overall increase in Ar-Pog (greater in patients treated with activator, an average increase of 8.05 mm).

Table 1: 5 patients treated with RPE and activator of Andresen.

Patients treated with RPE and activator of Andresen											
	A.D.		T.G.		E.L.		D.M.		C.M.		Δ
	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post	
<b>Gianni</b>	11 aa	13 aa	12 aa	15 aa	12 aa	14 aa	12 aa	15 aa	12 aa	14 aa	
SNA	81.5	82.6	83.7	84.2	83.3	85.1	84.1	85.1	83.7	82.7	-1
SNB	75.5	76.9	75.8	81	77.9	81.5	79.9	83	77.2	78.3	1.0999999999999999
SND	72.9	73.9	73	78.1	75.4	78.5	76.7	79.9	75.1	75.9	0.8000000000000001
ANB	6	5.7	8	3.2	5.5	3.6	4.2	2.1	6.6	4.5	-2.1
SOR: Sna	59.1	59.3	58.2	65	54.4	59.7	57.2	56.1	58.2	61	2.8
Sna: Me	60.1	61.6	45.1	52.2	62.6	70.1	52	53	56.1	60.4	4.3
I+/Snp: Sna	108.2	110.3	130.3	134.2	121.3	119.9	128.2	120.6	108.6	119.3	10.7
I+/SN	99.4	101.2	118.7	123.4	112.8	112.3	122.1	112.4	99.2	109.1	9.899999999999999
I-/Go: Gn	103	95.9	113.3	114.9	111.2	102.4	113.6	100	101.2	102.9	1.7
I+ ^ I-	124.2	126.5	106.1	100	109.6	115.8	106.7	127.3	128.5	116	-12.5
Sna: Snp - Go: Gn	24.7	27.3	10.2	10.9	17.9	21.9	11.5	12	21.7	21.8	0.1000000000000001
SN - Go: Gn	33.4	36.4	21.9	21.7	26.4	29.5	17.6	20.3	31.1	32	0.8999999999999999
SN - Oclp: Ocla	17.8	19	14.3	13.5	14.3	15	10.6	8.3	15.5	15.8	0.3000000000000001
SN - Snp: Sna	8.8	9.1	11.6	10.8	8.5	7.6	6.1	8.3	9.4	10.2	0.7999999999999999
Ar-Pog	92.1	99.3	93.3	109.7	102	115.2	92	97.4	93.5	100.3	6.8
<b>Soft Tissues</b>											
Upper Oropharyngeal	19.5	20.4	16	21.1	15.1	18.4	11.7	12.6	15.5	20	4.5
Lower Oropharyngeal	15.3	12.1	13.5	11.1	3.6	4.5	6.9	8.3	7	8.4	1.4
Medial Oropharyngeal	15	21.1	17.2	23.6	11.6	14.9	5.6	7.7	9.2	11.7	2.5
<b>Hard Tissues</b>											
Rhinopharyngeal C1 Sn	41.1	35.6	40.5	39.5	35.6	37.2	38.2	30.5	30.7	32.3	1.6
Oropharyngeal - C3 Hy	30.3	31	30	34.5	29.3	33.6	27.4	27.8	26.5	27.3	0.800000
<b>Pharyngeal</b>											
Rhino	469.7	411.9	472.8	511.1	383.4	415.4	414.2	389.7	332.6	376.4	43.8
Oro	1608.6	1876.2	1373.2	1959	1542.6	1926.5	1499.7	1375.8	1373	1554.6	181.6
Area	2078.3	2288.1	1846	2470.1	1926	2341.9	1913.9	1765.5	1705.6	1931	225.4
Otorhinopharyngeal											



Table 2: 5 patients treated with RPE and Frankel type I or II.

Patients treated with RPE and Frankel type I or II														
	I.B.		Δ	E.L.		Δ	I.P.		Δ	B.R.		Δ	B.V.	
	Pre	Post		Pre	Post		Pre	Post		Pre	Post		Pre	Post
<b>Gianni</b>	9 aa	10 aa		8 aa	13 aa		12 aa	14 aa		10 aa	14 aa		11 aa	16 aa
SNA	72.6	73.2	0.6000000000000000	86.2	82.2	-4	78.6	79.5	0.9000000000000000	80.2	79.7	-0.5	82.9	82.2
SNB	65.5	67.2	1.7	78.9	77.2	-1.7	71.3	75.9	4.6000000000000000	75.7	77	1.3	75.7	76.7
SND	63.6	65.5	1.9	75.9	74.8	-1.1000000000000000	69.2	74.2	5	72.2	73.8	1.5999999999999999	73.8	75
ANB	7.1	6	-1.1	7.3	5	-2.3	7.3	3.6	-3.7	4.5	2.7	-1.8	7.2	5.5
SOR.sna	52.6	56	3.4	54.1	59.7	5.6	57.9	58	0.1000000000000000	108.4	108.5	0.0999999999999999	56.7	59.8
sna.Me	57.9	60.9	3	57	60.9	3.9	67.9	68.1	0.1999999999999999	119.2	118.4	-0.7999999999999999	56.1	64.4
I+/Snp.Sna	109.9	98.3	-11.6	126.9	116	-10.9	106.2	105.1	-1.1000000000000000	129.9	127	-2.9000000000000000	117	108.2
I+/SN	96.7	85	-11.7	119.4	105.1	-14.3	96.3	95.4	-0.8999999999999999	121.7	119.6	-2.1000000000000000	109.8	101.7
I-/Go.Gn	105.4	105.3	-0.1000000000000000	106	101.6	-4.4000000000000000	98.2	98.4	0.2000000000000000	103.4	98.8	-4.6000000000000000	91.6	94.2
I+ ^ I-	116.9	130	13.1	101.9	119.1	17.2	121.7	122.9	1.2	92.7	101.6	8.8999999999999999	120	128
Sna.Snp - Go.Gn	27.8	26.4	-1.4	25.2	23.3	-1.9	33.9	33	-0.8999999999999999	34.1	32.6	-1.5	31.4	29.5
SN - Go.Gn	41	39.8	-1.2	32.7	34.3	1.5999999999999999	43.8	42.9	-0.8999999999999999	42.3	40	-2.3	38.6	36.1
SN - Oclp.Ocla	24.9	26.4	1.5	17.6	18	0.3999999999999999	25.2	24.7	-0.5	21.5	19.5	-2	15.6	14.9
SN - Snp.Sna	13.2	13.4	0.2000000000000000	7.5	10.9	3.4	9.9	9.9	0	8.2	7.4	-0.7999999999999999	7.2	6.5
Ar-Pog	81	86.7	5.7	85.3	95.1	9.8	94.3	100.1	5.8	168	171.6	3.5999999999999999	85.5	96.7
<b>Soft Tissues</b>														
Upper Oropharyngeal	15	14.9	-0.0999999999999999	14.2	19.2	5	20.3	23.6	3.3	30.9	33	2.1	15.6	24.6
Lower Oropharyngeal	8.4	7.4	-1	8.5	10.6	2.1	5.4	7.9	2.5	12.1	16.8	4.7	9.9	16.5
Medial Oropharyngeal	12	12.9	0.9	10.3	13	2.7	9.5	10.8	1.3	16.1	17.3	1.2	8.8	15.9
<b>Hard Tissues</b>														
Rhinopharyngeal C1 Snp	34.8	32	-2.8	31	34	3	31.5	34.7	3.2	66.9	52.9	-14	28.7	32.9
Oropharyngeal - C3 Hy	20	21.5	1.5	25.5	28.5	3	23.2	26.3	3.1	40.9	41.2	0.3000000000000000	25.2	28.2
<b>Pharyngeal</b>														
Rhino	385.1	331.6	-53.5	322.4	397.8	75.4	342.1	390.5	48.4	1236.8	1001.9	-234.9	362.3	485.2
Oro	1103.8	1115.4	11.6000000000000000	1117.4	1549	431.6	1395.2	1587.9	192.7	4606.3	4457.1	-149.2	1130.5	1626.9
Area Oropharyngeal	1488.9	1447	-41.9000000000000000	1439.8	1946.8	507	1737.3	1978.4	241.1	5843.1	5459	-384.1	1492.8	2112.1
														619.3

Table 3: 5 patients treated with RPE and Occlus-O-Guide.

	C.B.		Δ	D.B.G.		Δ	L.R.A.		Δ	S.C		Δ	T.B		
	Pre	Post		Pre	Post		Pre	Post		Pre	Post		Pre	Post	
<b>Patients treated with RPE and Occlus-O-Guide</b>															
<b>Gianni</b>	8 aa	10 aa		10 aa	11 aa		9 aa	12 aa		8 aa	12 aa		7 aa	10 aa	
SNA	88.1	84.4	-3.699999999999999	82.2	83	0.7999999999999997	79.3	77.5	-1.8	88.7	86.4	-2.3	82.5	81.2	-1.3
SNB	81.1	82.4	1.3000000000000001	78.2	80.5	2.3	75.3	77.2	1.9000000000000001	83.8	83.9	0.10000000000000009	76.2	77.4	1.2
SND	78.7	80.4	1.7	75.3	76.6	1.3	74.4	76.2	1.8	82.4	81	-1.4000000000000001	73.6	75.1	1.5
ANB	7	1.9	-5.1	4	2.5	-1.5	4	0.3	-3.7	4.9	2.5	-2.4	6.3	3.8	-2.5
SOR: sna	58.8	67.2	8.4000000000000001	71.2	64.4	-6.8	66	64.8	-1.2	51.9	56.3	4.4	59.1	66.5	7.4
sna: me	59.8	64.9	5.1000000000000001	65.4	60.8	-4.6000000000000001	63.3	62.8	-0.5	52.1	57.4	5.3	61.9	70.5	8.6
I+/Snp.Sna	117.1	117.9	0.8000000000000001	116.6	116.4	-0.19999999999999999	113.6	116.3	2.7	109.7	106.4	-3.3	126.4	112.1	-14.3
I+/SN	111.4	113.4	2	109.6	111.9	2.3000000000000001	104.9	107.2	2.3	106.5	102.2	-4.3	118.5	104	-14.5
I-/Go.Gn	106.7	105.1	-1.6000000000000001	109.7	110.1	0.39999999999999991	100.6	91.9	-8.699999999999999	94.4	101.9	7.5	110.3	117	6.7
I+ ^ I-	111.4	115.8	6.0000000000000001	112.1	113.1	1	124.3	130.8	6.5000000000000001	139.2	136.6	-2.5999999999999999	97.7	107.8	10.1
Sna.Snp - Go.Gn	24.7	21.2	-3.5	21.7	20.5	-1.2	21.5	21.1	-0.39999999999999999	16.7	15.1	-1.6	25.6	28	2.4
SN - Go.Gn	30.5	25.7	-4.8	18.6	24.9	6.3	30.2	30.2	0	19.9	19.3	-0.59999999999999998	33.4	35	1.6
SN - Oclp.Ocla	16.8	9.6	-7.2	16.7	10.7	-6	15.9	16.7	0.79999999999999999	11.6	10.5	-1.1	17.7	19.9	2.2
SN - Snp.Sna	5.7	4.5	-1.2	6.9	4.4	-2.5	8.7	9.1	0.4	3.2	4.2	1	7.8	8.1	0.3
Ar-Pog	101	118.7	17.7	119	110.8	-8.2	110.8	114.2	3.4000000000000001	93.6	101.9	8.3000000000000001	96.8	111.2	14.4
<b>Soft Tissues</b>															
Upper Oropharyngeal	15.4	12.1	-3.3	12.7	11.6	-1.1	12.3	12.3	0	9.6	10.7	1.1	11.1	12.7	1.6
Lower Oropharyngeal	9.2	8.1	-1.1	11.2	10.2	-1	10.8	10.9	0.09999999999999996	8.5	9.5	1	9.8	11.2	1.4
Medial Oropharyngeal	4.3	10.8	6.5	11.3	10.3	-1	10.9	11	0.09999999999999996	8.6	9.6	1	9.9	11.3	1.4
<b>Hard Tissues</b>															
Rhinopharyngeal C1 Snp	31	33.8	2.8	46.6	38.6	-8	41.1	37.3	-3.8	30.5	30.4	-0.10000000000000001	32	42.2	10.2
Oropharyngeal - C3 Hy	27.2	32.6	5.4	31.3	30.7	-0.6000000000000001	34.2	36.2	2	27.8	26.8	-1	28.9	31.9	3
<b>Pharyngeal</b>															
Rhino	365	529	164	731	552.5	-178.5	578.5	572.5	-6	393	421.5	28.5	496.5	666	169.5
Oro	1338	1856	518	2242	1810	-432	2109	2030	-79	1340	1613.5	273.5	1387	1568	181
Area Oropharyngeal	1694	2385	691	2973	2362.5	-610.5	2688	2602	-165	1733	2035	302	1883	2234	351

- A greater increase in the angle of divergence in cases treated with Andersen activator ( $\Delta$  Sna.snp-Go.Gn = 1.58 a) compared to cases treated with Frankel ( $\Delta$  sia.snp-Go.Gn = -1.52°); Student's test in this case showed a statistically significant difference ( $p = 0.006$ ).

As far as upper airway analysis is concerned, we observe:

A greater increase in the depth of the upper portion of the oropharynx in patients treated with an activator of Andresen ( $\Delta = 2.94$  mm) and Frankel ( $\Delta = 3.86$  mm) compared to patients treated with obstructive Occlus-O-Guides ( $\Delta = -0.34$  mm); The t test student showed statistically significant differences ( $p = 0.03$  in comparison with patients treated with activator and patients treated with Occlus-O-Guide;  $p = 0.02$  in comparison with patients treated with Frankel and patients treated with Occlus- O-Guide).

A general tendency to decrease the length of the C1-Sno segment expressing the depth of the upper portion of the pharynx (rhinopharynx).

A general tendency to increase the length of the C3-Hy segment expressing the depth of the lower tract from the pharynx.

A considerable dispersion of data (excessive standard deviation values) with respect to the rhino-pharyngeal and oro-pharyngeal area (and hence the total pharyngeal area).

Finally, the correlation coefficient of Pearson ( $r$ ) was calculated and it was shown: A strong correlation between the increase of the mandibular length (Ar-Gn) and the increase of the total pharyngeal area ( $r = 0.92$ ). A strong correlation between the increase in the anterior upper vertical skeletal dimension (Sor-Sna) and the increase in the rhinopharyngeal area as evidenced in the Kinziger study [13] ( $r = 0.88$ ). A moderate correlation ( $r = 0.56$ ) between anterior lower vertical skeletal size (Sna-Me) and increased oropharyngeal area.

Based on the data collected and the statistical analysis conducted, as expected, the effects of the different types of mandibular thrust are overlapping with regard to the stimulation of mandibular growth: The activator seems to favor an increase in SNB angle compared to two other devices and the Occlus-O-Guide have a greater inhibitory effect on the upper jaw. In this regard the maxillo-mandibular divergence parameter should be considered: The RPE and Frankel treated samples are composed of hyperdivergent patients, so mandibular advance is inevitably countered by the increased divergence that tends to keep point B backward. Patients treated with RPE and monoblock showed a statistically significant increase in skeletal divergence compared to patients treated with RPE and Frankel and this data confirms the therapeutic utility of Andresen's activator in the 2<sup>nd</sup> hypodivergent skeletal classes. The upper part of the oropharynx (OSP-OSA) was more pronounced

in the first two patient samples than the third with a statistically significant difference. No statistically significant differences were observed between the 3 samples with regard to the middle oropharyngeal tract (OMP-O-MA). Moreover, Frankel would seem more effective than other devices in increasing the depth of the lower oropharynx (OIP-OIA).

Going to analyze correlations with the Pearson coefficient, there is a strong correlation ( $r = 0.92$ ) between mandibular length increase and total pharyngeal area increase. Therefore the stimulation of mandibular growth has a positive presence on the depths of the UAS.

The patient's skull posture is a factor that greatly influences the numerical values of the angular and linear parameters and of the calculated areas for studying the UAS; In this regard, a great advantage of the CBCT is the ability to orient the acquired volume of the anatomical structure to be studied according to the anatomical plans of interest, regardless of how the head of the patient is placed during the examination [14,15].

The teloradiography of the skull in the latero-lateral projection allows only a sagittal and vertical evaluation of the UAS and must be associated with a teloradiography of the skull in postero-anterior projection, useful for defining, for example, the transverse extension of the nasal pits.

Although the teloradiography of the skull in the latero-lateral position is a simple, inexpensive execution of the diagnostic examination, which involves the patient's reduced exposure to ionizing radiation, it has a large two-dimensional limit that does not allow precise measurements on the three plans of space, as it allows the low dosage CBCT.

Moreover, it is often difficult to locate many cephalometric points of the skull in lateral projection due to the overlapping of numerous bone structures.

## Conclusion

Treatment of mandibular skeletal classes II by mandibular thrust entails an increase in the post-operative extension of the posterior-anterior oropharynx that appears to be most affected by the action of these devices.

Effects of the three different types of mandibular thrusters on the oropharynx are substantially overlapping.

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