Intervention for Non-verbal and Minimally-Verbal Individuals with Autism: A Systematic Review

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Abstract

Background: Most children diagnosed with ASD will present with late onset verbal communication, and at least one third of these children will remain minimally or completely nonverbal throughout their lifespan, speaking few or no words. Challenges with verbal language can negatively affect many areas, including socialization, academics, independent living and employment. The objective of this paper was to systematically review interventions for the treatment of nonverbal and minimally verbal individuals with ASD. This review exclusively selected studies that targeted verbal communication in minimally and nonverbal individuals diagnosed with ASD. The interventions provided, the outcomes of these interventions, measures used to assess change, and pre-post measures were included.

Question: What is the current evidence base for interventions for non- and minimally verbal individuals with Autism Spectrum Disorder (ASD)?

Methods: A literature search was conducted through ProQuest (Mendeley reference manager). Articles were extrapolated from seventy data bases. Databases surveyed included Medline, Periodicals Archive Online, Periodicals Index Online, PRISMA Database, ProQuest Central, PsycARTICLES and PsycINFO. A complete list of all databases included is available on ProQuest (http://tls.search.proquest.com/titlelist.jsp?list=tlsSingle.jsp?productd=10000255).

The publication span entered was 1960 to 2018. Reliability for coding was examined and was uniformly above 90% concordance.

Results: Our search yielded 2,007 articles, of which 29 studies met our inclusion criteria. Inclusion criteria included: (1) Research Design: Studies that involved systematic, experimentally controlled investigations, such as randomized controlled trials, quasi-experimental designs, and single-case designs; (2) Diagnosis: only studies that included minimally verbal, nonverbal, and preverbal participants diagnosed with ASD were included; and (3) Targeted verbal communication: the goal of the intervention was to initiate or improve verbal communication, including the production of words, word attempts, or sounds. This review found that there were a wide variety of interventions provided, comparisons across interventions were lacking, and dependent measures varied considerably.

Conclusions: Presently, pediatricians and service providers are unable to provide evidence-based treatment recommendations regarding speech and language interventions for non- and minimally verbal individuals with ASD. There is limited evidence that verbal-focused treatments in natural settings with parent participation is effective, research regarding the most effective and efficient interventions for this high need group is lacking. Lack of uniformity in regard to dependent and pre-post measures, participant ages, and description of interventions implemented make it difficult to compare outcomes across studies. Additional research using uniform standards for identifying MV and NV children with ASD and for details on the intervention procedures in each study is needed.

Keywords
Autism, Nonverbal, Minimally verbal, Expressive words, Communication treatment

Abbreviations
ASD: Autism Spectrum Disorder; MV: Minimally-Verbal; NV: Non-Verbal
**Key Points**

**Findings**

In this systematic review, we found no consistencies across intervention recommendations. For example, some studies recommended the use of augmentative programs (signs/pictures/computer programs) along with or before targeting verbal communication whereas other studies indicated that augmentative programs are not necessary. Regardless of program, most recommend the use of naturalistic intervention procedures.

**Meaning**

Currently there are no consistent recommendations for pediatricians and practitioners to make for treating nonverbal and minimally verbal children with ASD. Systematic intervention research for this population is needed.

**Introduction**

Parents rely on pediatricians and service providers for referral to effective interventions when their child is diagnosed ASD. Although social and behavioral symptoms of ASD may manifest in the first year of life, the delayed onset of language is the most common reason for a diagnostic consultation by parents [1] and is one of the most concerning symptoms of ASD [2]. Although many children with ASD are “high functioning” and communicate verbally, a high proportion will persist as nonverbal or minimally verbal.

Recently, the literature has highlighted the pressing need to study children with ASD who have extremely limited verbal abilities, identifying this subgroup of ASD as grossly under-represented in the intervention literature [3-5]. That is, in the last almost 60 years, relatively few studies have focused on intervention for targeting first word production in this population after the age of two years [6]. In the absence of specific guidelines and definitive strategies supported by objective data, children with ASD may receive ineffective or misguided interventions. Training programs, clinics, and schools cannot deliver best practices, and children with ASD may suffer the consequences of an inability to verbally communicate in the absence of a credible evidence base. To be clear, nearly all children with ASD are nonverbal or low verbal as toddlers, but many of these are high functioning and become verbal before age three years. The focus of this review is on children with ASD who persist as nonverbal or low verbal after two years of age.

**Pathophysiology of MV and NV ASD**

According to the DSM-5 [7], a defining characteristic of ASD is persistent deficits in social communication. Typically developing children produce first words between 10 and 18 months, whereas children with ASD are reported to do so at an average age of 36 months [8]. Further, a third of children diagnosed with ASD will remain minimally verbal or totally nonverbal [9].

**Clinical presentation of MV and NV ASD**

The late onset of communication in children who will be subsequently diagnosed with ASD is the primary reason parents bring their child in for initial evaluation [1]. Challenges with social communication along with restricted and repetitive behaviors (RRBs) are required for the diagnosis of ASD. RRBs often present as repetitive play in young children and few interests in older individuals. Challenges with socialization, particularly with peers, tend to persist across the lifespan, even with those who develop age appropriate communication. ASD encompasses a largely heterogeneous group, and symptomology varies considerably across individuals ranging from nonverbal/minimally verbal to highly verbal. RRBs range from repetitive motor behaviors to excessive interest in particular, often idiosyncratic topics. Those children with ASD falling into the persistent NV and MV subtype also have a higher probability of generally slower intellectual development and a higher incidence of behavioral sequelae.

This systematic review analyzes current intervention studies for this population, outcomes, and measures used to assess change. We reviewed studies since 1960 when interventions for ASD began to be published. Outcomes are analyzed and recommendations are provided.

**Methods**

A literature search with a publication span from 1960 to 2018 was executed using Vanderbilt’s ProQuest using Mendeley reference manager (https://www.mendeley.com/reference-management/reference-manager) from seventy data bases using the key words “autism”, “autistic”, “Asperger”, “autisms”, or “ASD” AND “minimally verbal”, “minimally fluent”, “preverbal”, “pre-verbal”, “nonverbal”, or “non-verbal” “mute” AND “vocabulary”, “words”, “communication”, “language”, or “lexical.” ProQuest databases surveyed included Medline, Periodicals Archive Online, Periodicals Index Online, PRISMA Database, ProQuest Central, PsycARTICLES and PsycINFO. A complete list of all databases included is available at ProQuest (http://tls.search.proquest.com/titlelist/jsp/list/tlsSingle.jsp?productid=10000255). This search yielded a total of 2007 articles. Only articles with interventions provided in English were included because the authors were not qualified to review interventions in other languages. Duplicates were removed, and a title screening to exclude articles that did not include nonverbal or minimally verbal individuals or did not provide treatment yielded 237 articles with 90% reliability for inter-reviewer article triage.

**Inclusion and Exclusion Criteria**

Only scholarly articles and peer-reviewed articles were included. Reports, dissertations, conference papers, and/or proceedings were not included. Additionally, articles were excluded if the titles indicated that they (a) Were assessment only or other non-treatment articles; (b) Did not target verbal be-
behavior(s) (e.g., receptive communication, reading, vision); (c) included highly verbal participants or advanced communication goals (e.g., Asperger Disorder, conversation, language structures); (d) Were commentaries, book reviews, reviews of the literature, errata; (e) Had fewer than two participants; or (f) Were conducted in a spoken language other than English. The final criterion was adopted due to the authors’ and coders’ limited expertise in the pantheon of spoken languages appearing in the topic search rather than any prior assumption as to the relative advantages or disadvantages of any particular spoken language.

**Title screening**

First, all of the titles were independently read and screened by the first (primary coder) and last (reliability coder) authors who had the most experience in the field, using the following inclusion criteria:

1. Titles that included “minimally verbal” children with ASD
2. Titles that included “nonverbal” children with ASD
3. Treatment/intervention articles targeting verbal communication skills

Duplicates were removed, yielding a total of 1,231 articles. This title search yielded 237 articles out of 1,231. Reliability for the title screen of the articles was 90%. Articles from the title screening that were included by only one coder (either the primary or reliability coder) were included for the abstract screening.

**Abstract screening**

Following the title search, abstracts from the 237 articles were screened using the additional following specific inclusion and exclusion criteria:

**Research design:** Studies that involved systematic, experimentally controlled investigation intervention were included. Example research designs meeting inclusion criteria were randomized controlled trials, quasi-experimental designs, and single-case designs with at least two participants. Uncontrolled case studies (e.g., N = 1) were excluded from this review. In order to ensure the study was evaluating intervention effectiveness, at least one dependent variable had to be a child outcome measure.

**Treatment:** Only articles that implemented an intervention for (or that resulted in) expressive verbal communication or interventions to evoke first words as the independent variable were included.

**Diagnosis:** Participants, or the majority of participants in the study, were required to have been diagnosed with ASD, and the participants diagnosed with ASD and had to have been identified as minimally verbal, nonverbal, preverbal or another description of the communication abilities of the participants relating to the production of first words.

**Measurement:** Included studies involved verbal behavior (words, word attempts, or sounds) as a dependent variable. Nonverbal modes of communication-augmentative, sign language, etc.-that did not include verbal output or explicitly state that the approach was used as a bridge to verbal communication were excluded. That is, articles that included picture supports, or other visual symbols were included only if the outcome dependent measure(s) were focused on verbal communication. Similarly, voice generating devices (e.g., touch talkers, dynavox systems) were excluded unless the outcome measure(s) focused on verbal communication by the child.

**Participants:** Individuals diagnosed with Asperger’s Disorder, PDD-NOS, or whose target behavior included socialization, receptive language, non-verbal communication exclusively, or whose treatment program included an augmentative system or nonverbal communicative system (e.g., pointing) that were not explicitly a precursor to verbal speech were also excluded.

The first (primary coder) and last (reliability coder) authors screened the first 50 articles with 96% reliability. The second and third authors screened the first 50 abstracts with 87% reliability. Next, half of the abstracts from the 237 included from the title review were screened by the first (primary coder) and fourth (reliability coder) author and the remainder were screened by the second (primary coder) and third (reliability coder) author. The reliability for the abstract selection was 89%. Any abstracts that were included by only one coder (either the primary or reliability coder) were included for the full article review. The abstract search yielded 67 articles.

These 67 articles that specified intervention to individuals who were nonverbal or minimally verbal were read in full and analyzed for a parent education program. Nineteen of the 67 articles (28%) were reviewed for reliability purposes relating to inclusion/exclusion. During the write-up, the first author found two articles that appeared to meet our exclusion criteria. The last author read these articles and concurred that they should be excluded from the analysis (one was conducted in a foreign language, and the other targeted nonverbal social behavior in individuals with Asperger Disorder who were highly verbal). Thus, reliability on inclusion/exclusion was 89%.

The 29 articles meeting the inclusion criteria were then fully reviewed and coded for: (a) Age of participants/sample size/male-female inclusion rates; (b) Dependent measures; (d) Pre- post intervention measures; (d) Descriptions of treatment provided; and (e) Study outcome. Following the creation of the summary table (Table 1), an independent coder re-
Table 1: Treatments used in the studies for nonverbal and minimally-verbal children with ASD.

<table>
<thead>
<tr>
<th>Author &amp; Year</th>
<th>N (m/f) Age</th>
<th>Dependent Measures</th>
<th>Pre-post Measures</th>
<th>Treatment</th>
<th>Outcome</th>
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<tbody>
<tr>
<td>Almirall D, et al. [10]</td>
<td>61 (51, 10)</td>
<td>Five DVs: # Total spontaneous communicative utterances from naturalistic language sample # Number of different word root # Initiating joint attention (IJA) and # initiating behavioral regulation (IBR) from ESCS # Total number of unique play from structure play</td>
<td>Smart design that includes two stages of tx. The first stage assigned children to JASP + EMT or JASP + EMT + SGD, second stage quick responders and slow responders were identified. Quick responders stay in the same treatment while slow responders were assigned to either intensified JAST + EMT or augmented JASP + EMT + SGD.</td>
<td>Sig differences in slopes (at Stage 1 or Stage 2) among the three adaptive interventions on two of the five outcomes considered: TSCU (p &lt; 0.01) and IJA (p = 0.046)</td>
<td>Authors concluded among the three adaptive interventions, the (SGD, SGD) adaptive intervention was found to lead to improved spontaneous spoken communicative utterances and initiating joint attention relative to (No SGD, No SGD) and (No SGD, SGD).</td>
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<td>Chenausky K, et al. [30]</td>
<td>30 (27, 3)</td>
<td>% Syllables Approximated % Consonants Correct % Vowels Correct</td>
<td>Probe assessments of repeating 15 high frequency (30 total) bisyllabic words or phrases, trained and untrained.</td>
<td>Auditory Motor Mapping Training (Listening/unison, unison fade, imitate, and cloze) compared with AMMT + Speech Repetition Treatment (SRT)</td>
<td>After 25 sessions, AMMT participants increased by 19.4% syllables approximated, 13.8% consonants correct, and 19.1% vowels Correct, compared to best baseline. In the matched AMMT-SRT group, after 25 sessions, AMMT participants produced 29.0% more syllables approximated (SRT 3.6%); 17.9% more consonants correct (SRT 0.5); and 17.6% more vowels correct (SRT 0.8%).</td>
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<td>DiStefano, et al. [11]</td>
<td>55 (Not reported)</td>
<td>Total number of different words, total spontaneous communicative utterances, total # of comments (as well as other variables)</td>
<td>10 min samples at entry and 1x per month of intervention</td>
<td>Half received play and engagement intervention that incorporated SGD for 6 months; 45-60 mins 2x/week months 1-3. Slow responders switched to increased intensity or original condition</td>
<td>Those higher at BL did better JASPER-EMT + SGD did slightly better Children in both groups improved in long interchanges; site differences in short interchanges Entry # of interchanges correlated with exit TDWR (words at entry correlated with exit) Spontaneous (TSCU) utterances at entry not predictor of TDWR at exit however entry # of interchanges and TSCU significant indicator of exit TSCU not measured by spontaneous language sample only during intervention</td>
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<tr>
<td>Authors</td>
<td>Sample Size</td>
<td>Intervention</td>
<td>Outcome</td>
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<td>Drash PW, et al. [14]</td>
<td>3 (3, 0)</td>
<td>% of mands (any vocal response to prompt, excluding inappropriate vocal behavior); % of correct echoic responses; % of error responses; % of no responses and inappropriate behavior combined; % of tact responses</td>
<td>All 3 children acquired an initial echoic repertoire within the 1st 10 sessions. Two of the children also began tacting; all participants acquired a mand repertoire by the 6th session; negative vocal behavior decreased rapidly</td>
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<td>Esch JW, et al. [31]</td>
<td>2 (2, 0)</td>
<td># Frequency of varied vocalization: Varied vocal response defined as a speech vocalization that was different from the response of the preceding trial and that occurred within 5s of the experimenter’s model (coded as D)</td>
<td>Pre-intervention assessment include a phoneme imitation task, play sample, and Kaufman speech Praxis test for children Discrete Trial Training: Reinforcer delivered when a response is different from the response immediately preceding it Authors concluded “systematic increases in varied vocalization” in both children but because of the large variability</td>
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<td>Franco JH, et al. [22]</td>
<td>6 (5, 1)</td>
<td># the number of acts that the children used to maintain social interaction during each routine # rate/minute of child initiated intentional communication (total number of child-initiated communication acts divided by total number of minutes in the session) HYBRID: Included vocalizations into a larger communication variable. The reported measure was a combination of verbal and non-verbal</td>
<td>Single subject design No pre-post tests Baseline was omnibus communication variable Prelinguistic Milieu Teaching using techniques including prompts, models, and natural consequences in a naturalistic play context participants did not demonstrate intentional communication at baseline. During intervention, all six children increased in the rates of initiation of intentional communication compared to baseline. Improvement rate difference (IRD) was used as effect size: %90 for acts to maintain social interaction; %87 for rate/minute of child initiated intentional communication. Pooled vocalizations with nonverbal social communication measures</td>
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<tr>
<td>Study</td>
<td>Participants</td>
<td>Intervention</td>
<td>Primary</td>
<td>Secondary</td>
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<td>Green J, et al. [15]</td>
<td>152 (124, 28) 2;0-4;11</td>
<td>Primary: Severity of the symptoms of autism Secondary: (1) Parent-child interaction during naturalistic play in a non-therapy setting (2) Child language and social communication (3) Adaptive functioning in school beyond the family.</td>
<td>Primary measure:ADOS-G social communication algorithm score (scoring procedure modified to improve sensitivity to change) Secondary measures: (1) Video tapes from the study were assessed for proportion of parental communications with the child that were synchronous, proportion of child communications with the parent that were initiations, and proportion of time spent in mutual shared attention (2) Assessed by the researcher using the Preschool Language Scales and reported by the parent according to the MacArthur Communicative Development Inventory (MCDI, infant form raw scores) and the Communication and Symbolic Behavior Scales Developmental Profile (CSBS-DP, caregiver questionnaire) social composite raw scores (3) Assessed by Vineland Adaptive Behavior Scales (VABS), Teacher Rating Form, and rated at endpoint by face-to-face interview with teachers in nurseries, reception class, or other appropriate caregiver who was not a member of the family</td>
<td>Preschool Autism Communication Trial (PACT) parent-mediated communication-focused intervention</td>
<td>Primary: No significant improvement in severity of autism symptoms in comparison to control group Secondary: (1) Intervention effects were strong for assessor-rated parent-child interactions (parent synchronous responses and child communication initiations, although less for shared attention). (2) No significant effect on directly assessed language (3) No significant effect on adaptive functioning in school. Unknown whether there were changes in vocalizations/verbal skills (not reported)</td>
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<td>Gevarter C, et al. [32]</td>
<td>6 (5,1) 3;6-5;3</td>
<td>Independent and prompted vocalizations, approximation of target word, full words</td>
<td>No pre-post tests single subject design</td>
<td></td>
<td>Whole words targeted. Any vocalization was rewarded. Rewards for DT for word production</td>
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<tr>
<td>Study</td>
<td>Participants</td>
<td>Intervention</td>
<td>Outcome Measures</td>
<td>Findings</td>
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<td>Gordon K, et al. [33]</td>
<td>84 (73, 11)</td>
<td>Initiations, requesting, use of PECS picture cards</td>
<td>The primary outcome variable was frequency of child-initiated communication (IC). Frequencies of different communication modalities used (such as the number of times a child used a picture card (P) and/or speech/vocalization (S) to communicate) were also recorded; communication functions were recorded by counting each time a child communicated for the purpose of requesting objects (R) and for the purpose of requesting a social interaction or commenting (D). In this way, a single communication act might produce 3 or more codes, e.g. as a spontaneous initiation (IC), of the use of a picture card (P) and for the purpose of requesting (R).</td>
<td>PECS phase 1 with clinician verbal modeling of words</td>
<td>There were positive moderated treatment effects. Requesting (mands) for objects. Social communication did not improve. 21 participants (those who were more severe at baseline) showed no gains</td>
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<td>Harris SL, et al. [16]</td>
<td>11 (10, 1)</td>
<td>Two half hour Videotapes (1 week apart) scored for Speech/speech attempts, other, and non-intelligible</td>
<td>10-item test of facts about teaching speech, a consumer satisfaction questionnaire (Likert scale), clinical data for behavior modification or speech training</td>
<td>Treatment behavior modification Brief summary of previous week, 40-min lecture, demonstrations, feedback 5 mins discussing individual academic or behavior progs Reading material Speech - behavior, nonverbal imitation, shaping sounds, teaching nouns, teaching adjectives &amp; Verbs, generalization Weekly group meetings; Home visits every 2 weeks</td>
<td>Pre-post analyses MBL design with two matched groups Significant increase in knowledge after both trainings. Improvements in speech-oriented language of parents after speech training. Verbal children at pre showed greater improvement than non-verbal children at post</td>
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<td>Study</td>
<td>N</td>
<td>Age Range</td>
<td>Measures</td>
<td>Design</td>
<td>Interventions</td>
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| Hingtgen JN, et al. [34]                  | 4     | 4:0-5:11  | # Number of imitated use-of-body response # Number of imitated use-of-object response # Number of imitated sounds and words. | No pre-post tests               | Three to five weeks of intensive imitative training, consisting of five to six hours of daily training sessions, where adults used reinforcers to shape imitative behaviors: Body response, use of objects, and receptive and expressive language (following directions, item identification, naming items) | No quantitative analysis was provided. Qualitative description of number of imitated sounds and words at the end of intensive training were included for all four children: Child 1: Imitated all vowel and consonant sounds, 25 words/word approximations  
Child 2: Almost all vowel and consonant sounds, at least 60 words/word approximations  
Child 3: Most vowel and consonant sounds, at least 16 words/word approximations  
Child 4: Imitated 9 sounds Authors concluded that “intensive training combined with elevated motivational levels can lead to substantial increases in the behavior of mute autistic children”. |
| Jones EA [17]                            | 2     | 3;2 & 4;11| Joint Attention Hybrid. Included nonverbal and coordinated with verbal.  | Single case design on several measures of joint attention | PRT for Gaze, Gaze Alternating, Gaze Alternating and Pointing, and Gaze Alternating, Pointing and Verbalization  | Both children learned the target behaviors JA attention alone did not result in improvements in pointing or verbalizations; Pointing and verbalizations improved only after they were specifically targeted |
| Kasari C, et al. [12]                     | 61    | 5-8       | From 20 min Natural language sample Total # of spontaneous communicative utterance. Also # different word roots and # of comments | Pre post naturalistic language samples | JASPER-EMT alone or JASPER-EMT+S-GD. First 3 months 2-hour long sessions - increasing to 3 hours per week for slow responders | JASP + EMT + SGT greatest gains |
| Koegel RL, et al. [35]                    | 2     | 4;5-5;8   | Number of utterances, spontaneous-imitation  | Single subject design No pre-post tests | NLP and Analog (DT)  | Improvements in the number of imitated and spontaneous utterances |
| Koegel RL, et al. [18]                    | 3     | 3;0-4;8   | CDI, %Correct Verbalizations  | Single subject design No pre-post tests | PRT (Antecedent Stimulus Control: Using Orienting Cues)  | 4, 38 & 245 words (n = 3) |
| Koegel RL, et al. [19]                    | 3     | 3;2-3;5   | # Reinforcer strength # Self-initiated social engagement during communication # Nonverbal dyadic orienting # General child affect  | Single subject design No pre-post tests | Embedding social interaction into child-preferred reinforcer in a naturalistic language intervention context # Reinforcer strength Comparable across both conditions during communication Improvement in in self-initiated social engagement, nonverbal dyadic orienting and affect in all three children. | |
| Laski KE, et al. [23]                     | 8     | 5-9.6     | Parent verbalizations, child vocalizations (imitations, answers, spontaneous speech)  | Parent verbalizations, child vocalizations (imitations, answers, spontaneous speech) | Natural language paradigm (NLP) with clinic sessions and parent implementation  | Parents increased the frequency with which they required their children to speak (i.e., modeled words and phrases, prompted answers to questions); all participants with autism increased the frequency of verbalizations in 3 non-training settings |
Miller A, et al. [38]
19 (12, 7)
5-23
Expressive and receptive words and signs but not clear how data were collected.
Hybrid. Did include spoken words
No pre-post tests
Cognitive-developmental;
Participants walked on parallel boards 3-6' above the ground with obstacles to become aware and decrease “autistic mannerisms”
Next, 50 functional ASD signs were taught via signs on the boards, training films, and generalization to everyday contexts; adults taught to elicit signs throughout day paired with spoken word
All improved in receptive and expressive use of responding to signs. Children respond to more signs than used them. 7 of the 19 children produced some spoken words relating to the signs (range 1-50 with only one participant above 7)
Duration of training correlated with higher Creak scores
Day school students performed better than residential.

Ozonoff S, et al. [36]
22 (18, 4)
2;7-5;9
The Psychoeducational Profile-Revised. Hybrid: Words are included in the overall PEP-R score, but actual word use/vocalizations were not included.
The Psychoeducational Profile-Revised sub-scales: Imitation, Perception, Fine Motor, Gross Motor, Hand-eye Integration, Cognitive-Performance, Cognitive-Verbal and Total PEP-R score
Parent Implemented TEACCH or Control (n = 11 in each group)
TEACCH Group bigger gains than control group on Imitation, Fine Motor, Gross Motor, Cognitive-Performance, and Total PEP-R score

Oxman J, et al. [24]
10 (5, 5)
9;1-9;5
Speech production/imitation categorized by:
(1) Willingness/motivation to vocalize
(2) Participants’ precise speech abilities
Fisher-Logemann Test of Articulation Competence
Test consists of 106 items - three trials given for each test item speech pathologists used two measures to score responses: First trial of each test item was scored for presence/absence of a vocal response, regardless of its quality (willingness/motivation to vocalize); vocal responses were scored in terms of their articulatory correctness (or correspondence with the examiner’s speech models) (used to measure participants’ precise speech abilities)
Simultaneous communication training (speaking and signing) compared with a control group that received vocal responding (speech-oriented socialization program)
Simultaneous communication did not result in improvements in any of the nonverbal participants, however control group
Significant increase in the experimental group’s pre to post-test performance on first trial responses; no improvement in the control group; 4 children performed at very low levels for the measure of articulatory correctness at both pre/post-testing - no significant differences between the pre- and post-test scores
<table>
<thead>
<tr>
<th>Study</th>
<th>Sample Size</th>
<th>Intervention Details</th>
<th>Results</th>
</tr>
</thead>
</table>
| Rogers SJ, et al. [37]       | 10 (10, 0)  | 1;8-5;5  
# Novel words or approximations;  
# novel phrases  
(also looked at function of communication, and  
if utterance was prompted or spont;  
Frequency of speech  
ADOS, SCQ, Mullen,  
VABS, CDI, 15 min speech probes  
1 press for request and 1 for JA (“look”)  
FU - speech probe after 3 months  
Denver Model (behavioral, developmental,  
and relationship-oriented intervention) or  
PROMPT (neuro-developmental approach  
for speech production disorders)  
1 hour per week for 12 hours  
8/10 children demonstrated functional spontaneous use of 5 or  
more novel words during therapy and generalization (less during  
play/gen)  
9/10 improved on CDI  
Higher Developmental quotient did better  
Age, cognitive abilities, imitation,  
intentional skills and milder autism symptoms may have moderated success  
Poorest outcomes: Attention, tolerating demands, participating, ja  
Good: Mild autism, social orient  
| Sandiford GA, et al. [25]    | 12 (11/1)   | 5;0-7;6  
#Verbal Attempts (Correct Words)  
#Words Parent Report  
# Imitative Attempts  
Number of verbal attempts, number of correct words, number of words reported by the parent, and number of imitative attempts. In order to measure number of verbal attempts and number of correct words over time, a criterion referenced vocabulary test developed by the first author was given at baseline and the beginning of each treatment week.  
Melodic Intonation Tx  
$n = 5$  
Standard Tx $n = 5$  
Pre-post gains in #VACR, PR & IA for MIT  
Pre-post gains in #VACR,  
No diff between groups on #VACR, #PR or #IA |  
| Scanlan JB, et al. [26]      | 8 (7, 1)    | 5;2-9;6  
None reported  
No pre-post measures  
Treatment emphasized activities that encouraged interaction between the therapist and the child (e.g., using pictures of familiar objects, animals and people). Therapist labeled each picture and encouraged the child to look at the therapist’s mouth. A hearing tube was occasionally used to stimulate verbal communication. Child’s lips and jaw were manually manipulated sometimes to stimulate speech.  
Qualitative description: “gains in verbal expression, however, did not nearly approach the gains made in verbal comprehension” |  

<table>
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<tr>
<th>Author(s)</th>
<th>Study Design</th>
<th>Data</th>
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<tr>
<td>Shire SY, et al. [13]</td>
<td>Partial data from 1 site of a multi-site study</td>
<td>22 (22, 0) 5-8</td>
<td>CCX 10-minute parent interaction - spontaneous language and function (request, comment, other non-social)</td>
<td></td>
<td>Sig increase in spontaneous requests &amp; comments No sig difference bet time and treatment condition Comments “modestly significant”</td>
</tr>
<tr>
<td>Strasberger SK, et al. [27]</td>
<td></td>
<td>4 (4, 0) 5-8-12;11</td>
<td>Frequency of independent 2-step mand sentence sequence; frequency of responses; generalization measures in classroom; teachers' ratings on the Behavioral Intervention Rating Scale (BIRS); social validity measure for peers</td>
<td>Frequency of independent 2-step mand sentence sequence; frequency of responses peer assisted communication application (PACA) on iPod SGD</td>
<td>All 4 participants were able to use an iPod-based SGD for some communicative purpose; 2 participants generalized and maintained their new communication; classroom teachers and same-aged peers reported the intervention as being both acceptable and effective</td>
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<tr>
<td>Wan CY, et al. [28]</td>
<td></td>
<td>6 (5, 1) 5-9-8;9</td>
<td>% CV approximations</td>
<td></td>
<td>After therapy, all children showed significant improvements in their ability to articulate words; All six subjects increased %CV approximations</td>
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checked articles for accuracy. Numerical findings in the Results were checked, and five that were below 100% were analyzed by another author independently and the matching score was reported.

### Results

#### Assessment

Four studies used the same data base (same participants), with similar findings, therefore only one study with the largest N was used in the calculations [10-13]. There were 649 unique participants with ASD that received intervention in the 26 independent studies. Twenty-four of the studies reported number of males and females included; 84% of participants were males (n = 496) and 16% of participants were females (n = 91). Gender was not reported for 62 participants. The age span of the participants ranged from 1 year 4 months to 23 years. A closer analysis revealed that eight studies exclusively targeted children in the toddler/preschool years (under 4 years 11 months), with a total of 295 participants [14-21]. Eight studies included participants exclusively in elementary school (ages 5-12;11) with 115 participants [10,22-33]. Participants in nine studies [6,31-37] included a combination of preschool and elementary school aged children with 220 participants. The remaining study included a combination of elementary/adolescents/adults with 19 participants [38]. In regard to the assessment of change measures, most studies (77%) had direct measures of speech sounds, word approximations, words, or utterances. Two studies only reported standardized test scores (PEP-R, CDI/Mullen/Vineland) [20,36]. One study measured behaviors related to communication (social engagement/affect) and described each participant’s outcome [19].

#### Treatment

Most studies reported improvements in communication. In regard to interventions for toddler and preschool children, 6 of the 8 studies used strategies based on Applied Behavior Analysis (ABA); two used traditional ABA discrete trials procedures such as modeling, shaping, and rewarding desired behavior [14,16], three studies used ABA Pivotal Response Treatment (PRT) that focuses on motivational components (e.g., child choice, natural rewards, task variation, rewarding attempts) [17,18,20]. One study used a parent-mediated intervention that focused on parent responsiveness to child communication and using communication during action routines [15]. The remaining study targeted engagement in natural

<table>
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<tr>
<th>Wetherby AM, et al. [21]</th>
<th>82 (71, 11)</th>
<th>Social communication, autism symptoms, adaptive behavior, and developmental level</th>
<th>Social, Speech, and Symbolic composites of the CSBS Behavior Sample; Social Affect (SA) and Repetitive Behavior (RRB) domains of ADOS; Communication, Daily Living, Socialization, and Motor scores from the Vineland Adaptive Behavior Scales (VABS-II); Visual Reception, Fine Motor, Receptive Language, and Expressive Language scores from The Mullen Scales of Early Learning (MSEL)</th>
<th>Comparing two interventions within the Early Social Interaction (ESI) Project: Individual-ESI vs. group-ESI</th>
<th>Participants in individual ESI showed significantly greater improvement on the Social composite of CSBS; participants in both groups showed significant improvement in social affect and worsening in RRBs of ADOS; Participants in individual-ESI showed significant improvement in Communication and Daily Life and stability in Socialization on VABS; participants in group-ESI showed no change in Communication and Daily Life and significant decrease in Socialization; participants showed no significant change in Visual Reception of MSEL; participants in the individual-ESI showed significant improvement in Receptive Language but there was no change for participants in group-ESI</th>
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<td>Yoder PJ, et al. [29]</td>
<td>60 (Not reported)</td>
<td>Total number of different child-initiated spoken words observed during 40-minute training sessions</td>
<td>Direct observation by language clinician -Recorded utterances as they occurred -Pre-treatment: Verbal imitation was trained and assessed using the sum of correct trials per item (language clinicians asked participants to verbally imitate 11 items)</td>
<td>Simultaneous presentation of speech and signing (4 groups: Sign Alone, Speech Alone, Simultaneous presentation of Sign and Speech, and Alternating Presentation of Sign and Speech)</td>
<td>Sign Alone group used significantly fewer spontaneous words than participants in the other 3 groups; Participants in the other 3 groups did not significantly differ from each other; pretreatment verbal imitation abilities (higher) were predictive of spontaneous oral language use by 57 of the 60 participants</td>
</tr>
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</table>
environments, social communication, and emotional regulation [21]. One study compared a picture system (PECS) to a verbal only intervention (PRT) and found no group differences [20]. Another study compared group parent education with individual parent education and found that, while all children improved, the individualized parent education group demonstrated greater improvements in communicative areas [21].

For elementary school-aged children 7 of the 12 studies added some type of augmentative device, such as pictures, computer, gestures, or signs in addition to verbal communication. Five studies focused on verbal communication without an augmentative system. Of those five studies that used various verbal approaches (pre-linguistic milieu Teaching [22], NLP/PRT [35], Melodic Intonation Treatment (MIT) [25], a non-labeled intervention that focused on modeling/attention/hearing tube/manual manipulation, and auditory motor mapping [37]) all resulted in improvements in verbal communication. However, MIT participants did not show significantly greater outcomes than a traditional treatment. In regard to interventions that used a combination of treatments one study found that a combination of a speech generating device and verbal production was more effective than an intervention focusing on joint attention, play, engagement & regulation (JASPER), with a verbal component [10].

Another study that used a picture system of communication (PECS) found that some children improved in their use of requests (both verbal and with the picture cards), but it did not result in improvements in communication used for social purposes [33]. Further, the picture system did not enhance the verbal communication for the children who were already using some verbal communication at baseline. A study using simultaneous communication (speaking and signing together) compared with a verbal only approach found no difference between the verbal outcomes of the groups [24]. Finally, a study that compared sign alone, speech alone, sign with speech, and alternating sign and speech found that the only group that used significantly fewer spontaneous words was the sign only group [29].

Studies that included a combination of preschoolers and elementary school aged children did not use augmentative communication but, similar to the preschool studies, directly targeted communication. One used Auditory Motor Mapping Training alone and compared this with added Speech Repetition Therapy. The AMMT + SPT produced the best outcomes [30]. Several studies used ABA or naturalistic ABA procedures and those studies showed improvements in verbal communication (varied vocalizations, vocalizations, words) in all participants [22,34,36,37]. One study used the Treatment and Education of Autistic and Communication related handicapped Children program (TEACCH) but did not report communicative gains [36] and another compared the Denver Model to Prompts for Restructuring Oral Phonetic Targets (PROMPT) techniques and showed greater gains with the Denver model [37].

Finally, the study that included a large age range (i.e., elementary/adolescents/adults) primarily included nonverbal participants and began with having the participants walk on uneven parallel bars above the ground then added pictures with signs and verbal modeling of the word [38]. After intervention researchers found improvements in sign use for all participants but only 7/19 participants learned some spoken words.

Moreover, this systematic review suggested that a majority of the participants in the studies, aged between 1;4 to 23 years, demonstrated communicative gains following intervention. Several studies suggested moderating factors. For example, children with higher skills at the start of intervention had a more positive response to the intervention. Measured pretreatment areas that were suggestive of more positive communicative outcomes included greater sound imitation, more mild symptoms of ASD, and the presence of social orienting. Additionally, the presence of functional language by age five was reported to be correlated with more positive outcomes in children with ASD. Overall, the level of evidence from these studies must be classified as preliminary or weak and the overall data available is quite limited, especially relative to that for verbal children with ASD.

Discussion
Nonverbal and minimally verbal individuals with ASD are arguably the most-needy phenotype, however this subgroup has been largely understudied in the research literature. Over almost 60 years of research, our searches through nearly 60 years of research only yielded 29 studies (and only 26 with unique participants) that focused exclusively on teaching communication to nonverbal or minimally verbal individuals with ASD. Within this literature base, there was a variety of reasons that precluded us from making conclusive evidence-based recommendations and made it difficult to compare findings across studies. These included: (1) Definitions: A lack of uniform terminology for defining “nonverbal” and “minimally verbal” was noted across studies. Some classified individuals who could say a few words as “nonverbal” and other studies combined nonverbal and minimally verbal children in their research. Further, there was great heterogeneity in age groups with some studies focusing exclusively on preschool children (who could also be considered preverbal or prelinguistic) while other studies included elementary school aged children, adolescents, and adults. As such, the most effective interventions based on verbal status and age could not be determined due to a small number of studies in each area; (2) Measurement Systems: The
reviewed studies varied greatly in how pretreatment behaviors were measured, how progress and outcomes were measured, and whether assessments included various settings and different communicative partners. The field requires a more uniform paradigm and standardized measures across settings would allow for more precise comparisons of procedures and systematically aggregating findings across studies. Additionally, a greater understanding of prognostic pretreatment indicators (particularly in preschoolers) would be helpful in understanding the relative communicative gains of an intervention, moderating factors, and the likelihood of response to intervention; (3) Diversity of Interventions: Although the most common effective procedures were rooted in applied behavior analysis principles (ABA), there was great variability in intervention procedures within ABA (i.e., discrete trials, pivotal response training) and for non-ABA procedures (auditory-visual mapping, Melodic Intonation Therapy), again creating challenges in understanding best practices. Given these challenges, we were still able to make some general preliminary findings, as follow.

Grouping non- and minimally verbal children across age groups did result in some interesting patterns in the research within the limitations described previously: (1) A verbal only approach is recommended for toddlers and preschoolers. All studies showed improvements in verbal communication using a speech only approach for preschoolers. One study comparing an augmentative vs. a speech only approach did not show that a picture system resulted in greater improvements. One question in for nonverbal children with ASD has been whether the use of an augmentative system would facilitate expressive verbal communication. This review did not indicate this combination was differentially beneficial. (2) Research suggests that targeting pre-linguistic behaviors may not lead to improvements in verbal communication [17]. The most successful programs appeared to directly target verbal communication. Further research on learning trajectories and prelinguistic behaviors should help elucidate those who will become verbal and those prelinguistic areas that potentially could be taught to accomplish better outcomes for all children. (3) When children reach elementary school age, while a greater diversity in treatments was noted, more than half of the studies showed gains in communication using various communicative interventions without an augmentative device. Only one set of participants [10,12,13] (the

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**Figure 1:** PRISMA 2009 flow diagram [39].
same participants reported in four published studies) found that a combination of a speech generating device was more effective than intervention alone, however, their intervention was not solely focused on communication. As a whole, these results suggest that treatment focused on non-communication areas (e.g., play, joint attention, attentiveness) in combination with target- ing verbal communication may not produce as large of gains in expressive communication as interventions that focus exclusively and directly on verbal communication.

More research is warranted in this area. (4) It does not appear necessary or helpful to manually manipulate the articulators during intervention for children with ASD (e.g., PROMPT). (5) Naturalistic interventions based on ABA procedures, providing considerable opportunities in everyday settings with a parent education component are the most well researched and currently appear to be most effective at producing expressive communication in preschool and elementary school aged children. (6) As a whole, the studies indicated that children who enter intervention at a higher level will also exit intervention at a higher level. Nonverbal children with higher levels of behaviors such as play, joint attention, sound imitation, gross motor imitation, attentiveness, socialization, along with less severe autism symptomology will begin talking sooner. Those with significant deficits in these areas are more likely to remain nonverbal.

In summary, this systematic review indicates the general paucity of intervention studies on minimal- ly verbal or nonverbal children with ASD despite the long-standing high priority for developing effective treatments for these children (e.g., Interagency Council on Autism, 2011, 2017) [3]. The current lack of systematicity reduces available evidence-based treatment options. When comparing the definitions of the studies reviewed, one has to bear in mind that the considerable differences between the studies evaluated makes is difficult to extrapolate the existing studies to practice and to aggregate studies for meta analyses. Furthermore, studies using the same subject pools adversely affect the external validity of an intervention. Research should explicitly state whether the studies involve unique participants.

Despite these challenges, the current literature is a foundation to build future studies and systematic improvements are needed. Moreover, our attempt to accurately describe the current literature in regard to intervention recommendations for nonverbal and minimally verbal participants was hindered by the heterogeneity in the symptoms, varying ages, and different treatments and core lack of systematic, replicated intervention research paradigms that made it difficult to make definitive recommendations for intervention. We do acknowledge the possibility that some studies were missed in the analysis due to non-inclusion of the key words used but do point to the breadth of the original article catchment as reducing the likelihood that a large number of studies were missed. Future research is crucial for addressing the communication needs of the most severe and underserved children with ASD (Figure 1) [39].

References


